

CHAPTER 262

INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

2006-3

This Act comes into operation on a date to be fixed by proclamation.

Amended by:

This Act has not been amended

Law Revision Orders

The following Law Revision Order or Orders authorized the insertion and removal of pages as the case may be under the Law Revision Act Cap.2 now repealed:

2007

CHAPTER 262

**INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA
AND FLORA
2006-3**

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BARBADOS

INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA
AND FLORA
2006-3

An Act to provide for the implementation of the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora and for related matters.

PART I
PRELIMINARY

Short title

1. This Act may be cited as the *International Trade in Endangered Species of Wild Fauna and Flora Act*.

Interpretation

2. In this Act,

“artificially propagated” refers only to plants grown by man from seeds, cuttings, callus tissues, spores or other propagules under controlled conditions;

“badge” means the form of identification provided by the Management Authority;

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“bred in captivity” refers only to offspring, including eggs, born or otherwise produced in a controlled environment of parents that mated or otherwise transmitted their gametes in a controlled environment;

“Convention” means the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) signed at Washington D.C. on 3rd March, 1973 as amended in Bonn on 22nd June, 1979; the text of which is contained in the *First Schedule* to this Act;

“conveyance” includes any vessel, aircraft, vehicle or mode of transport;

“export” means to take or cause to be taken out of Barbados by sea or air any species set out in the *Second Schedule* other than scheduled species in transit in Barbados;

“import” means to bring into Barbados, whether by sea or air, any species set out in the *Second Schedule*;

“inspector” means a public officer designated as an inspector under section 25;

“international trade” includes any exportation, re-exportation, importation or introduction from the sea of a specimen of a species covered by Customs Regulations;

“intransit” includes any occasion on which a plant or animal species or specimen remains on board an aircraft, ship or other form of transport and is in the process of shipment to a named consignee in another country;

“introduction from the sea” means the transportation into Barbados of specimens of any species which were taken from the marine environment not under the jurisdiction of any State, including the air space above the sea, the sea bed and the subsoil beneath the sea;

“Management Authority” means the Authority designated under section 5(3);

“Minister” means Minister responsible for the Environment;

“Parties” means the States in which the Convention is in force;

“permit” means a valid permit issued by the Management Authority under section 12;

“re-export” means the exportation of any specimen that has previously been imported, whether or not the specimen is in the same form as at the time of importation;

“rescue centre” means an institution designated by the Management Authority to look after the welfare of living specimens that have been forfeited;

“Scientific Authority” means the Authority established by section 5(1)(b);

“species” means any species of animal or plant, subspecies or geographically separate population thereof listed in the *Second* and *Third Schedules* to this Act;

“specimen” means

- (a) any animal or plant, whether alive or dead; or
- (b) any part or derivative which appears from an accompanying document, the packaging or a mark or label or from any other circumstances to be a part or derivative of an animal or plant of species included in the *Second* and *Third Schedules* to this Act.

PART II

APPLICATION

Force of law

3. The Convention has the force of law in Barbados.

Application and amendment of the Convention

4.(1) This Act shall apply to all animal and plant species listed in the *Second* and *Third Schedules*.

(2) Where any amendment to the Convention is adopted by the Parties, the Minister may by order amend the *First* and *Second Schedules* to give effect thereto.

(3) Any order made under subsection (2) may contain such consequential, supplementary or ancillary provisions as appear to the Minister to be necessary or expedient for the purpose of giving due effect to the amendment adopted under subsection (2), and may contain references to specific Articles of the Convention.

(4) Every order made under this section is subject to negative resolution.

(5) Where the *First Schedule* is amended in accordance with subsection (2), any reference in this Act to the Convention shall, unless the context otherwise requires, be construed as a reference to the Convention as so amended.

PART III

ESTABLISHMENT AND ADMINISTRATION

Establishment of Management and Scientific Authorities

- 5.(1) There is established
- (a) a Management Authority; and
 - (b) a Scientific Authority

for the purpose of establishing control over the international trade in any specimen of species listed in the *Second Schedule*.

(2) The provisions of the *Fourth Schedule* shall have effect as to the constitution of the Scientific Authority and otherwise in relation thereto.

(3) The Minister shall by instrument in writing designate the Ministry responsible for the Environment as the Management Authority responsible for enforcing the provisions of the Convention, and a notice to this effect shall be published in the *Official Gazette*.

Duties of the Management Authority and exemptions

6.(1) The Management Authority designated under section 5(3) shall be responsible for

- (a) issuing permits and certificates in respect of the importation, exportation and re-exportation of endangered species of wild fauna and flora in accordance with the provisions of the Convention and stipulating any conditions that may be attached to the permits or certificates;
- (b) maintaining records of international trade in the species listed in the *Second Schedule*;
- (c) preparing annual reports concerning international trade in species listed in the *Second Schedule* and submitting the reports to the Secretariat responsible for the Convention by the 31st October of the year following the year to which any such report refers;
- (d) advising the Minister on matters of policy relating to international trade in endangered species of wild fauna and flora, and on any action to be taken for the implementation and enforcement of the Convention;
- (e) choosing in consultation with the Scientific Authority a rescue centre for species seized and forfeited in accordance with section 29(2)(b);
- (f) suspending any permits or certificates for an indefinite period or for a specific period where a condition of the permit, certificate or the Convention is breached;
- (g) consulting with the Scientific Authority regarding proposals for amendment of the *Second Schedule*;
- (h) promoting public awareness of species of wild fauna and flora that are threatened by international trade, and fostering an understanding of the importance of wild fauna and flora to the conservation of the environment;

- (i) performing such other functions pertaining to international trade in endangered species of wild fauna and flora as may be assigned to it by the Minister;
 - (j) determining, after consultation with the Scientific Authority and any other Ministry, the method of shipment, including the container and other packaging requirements, necessary for the transportation of a specimen specified in the *Second* and *Third Schedules*;
 - (k) assessing whether an importer can adequately provide the necessary accommodation for a specimen; and
 - (l) providing adequate accommodation for a seized or forfeited specimen.
- (2) The Minister may by order and with the approval of the Management Authority amend the *Third Schedule*.
- (3) An order made under subsection (2) is subject to negative resolution.
- (4) The Management Authority shall grant the exemptions specified in Article VII of the Convention.

Duties of the Scientific Authority

- 7.(1) The Scientific Authority is responsible for advising the Management Authority on the matters set out in subsection (2) and any other matters relating to the Convention.
- (2) The Scientific Authority shall
- (a) advise the Management Authority on
 - (i) whether any species is vulnerable, threatened, endangered or extinct;
 - (ii) matters in respect of which advice is sought by the Management Authority;
 - (iii) policy matters relating to international trade in endangered species of wild fauna and flora;

- (iv) whether a proposed importation, exportation, re-exportation or introduction from the sea of specimen specified in the *Second* and *Third Schedules* will be detrimental to the survival of that species and on suitable measures to be taken to ensure the protection of the species;
 - (v) whether or not, in the case of a proposed importation of a live specimen of species listed in the *Second* or *Third Schedules*, the Scientific Authority is satisfied that the proposed recipient of the specimen of species is suitably equipped to house and care for the specimen;
 - (vi) monitoring the permits issued and the actual trade in specimens listed in the *Second* or *Third Schedules* and advising on suitable measures to be taken to limit the grant of export permits for that species when it determines that the limitation is necessary to maintain the species;
 - (vii) all technical matters pertaining to the Convention, including any amendments to be made to Appendix I, II or III of the Convention;
- (b) recommend, initiate, carry out or support research which is relevant to any of its functions; and
- (c) perform such other functions pertaining to international trade in endangered species of wild fauna and flora as may be assigned to it by the Management Authority.

PART IV
GENERAL**Importation, exportation or re-exportation of specimens set out in the
Second Schedule**

8.(1) No person shall import, export, re-export or introduce from the sea any species listed in Appendix I, II or III of the Convention as set out in the *Second Schedule* unless that importation, exportation, re-exportation or introduction from the sea is in compliance with the

- (a) requirements as set out in Articles III, IV and V of the Convention;
- (b) requirements of the
 - (i) *Animals (Disease and Importation) Act*, Cap. 253;
 - (ii) *Plant, Pest and Disease (Eradication) Act*, Cap. 266A;
 - (iii) *Plant, Pest and Disease (Import Control) Act*, Cap. 266;
 - (iv) *Fisheries Act*, Cap. 391;
 - (v) *Wild Birds Protection Act*, Cap. 398; and
 - (vi) *Coastal Zone Management Act*, Cap. 394; and
- (c) any other legislation that refers to plants or animals.

(2) No person shall

- (a) have in his possession or under his control; or
- (b) offer or expose for sale or display to the public,

any species which has been imported in contravention of subsection (1).

(3) A person who contravenes subsection (1) or (2) is guilty of an offence, and

- (a) is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both; and

- (b) in the case of a second or subsequent conviction, is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

Exportation of species listed in Third Schedule

- 9.(1) No person shall export any species listed in the *Third Schedule* unless
- (a) the person is the holder of an export permit; and
 - (b) the exportation is in compliance with the requirements set out in section 8(1).
- (2) A person who contravenes subsection (1) is guilty of an offence and
- (a) is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both; and
 - (b) in the case of a second or subsequent conviction, is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

Applications to trade in endangered species

- 10.(1) Every person who proposes to conduct international trade in any specimen of species listed in the *Second Schedule* shall apply to the Management Authority for the appropriate permit or certificate as stipulated under Articles III, IV and V of the Convention or, in the case of species in the *Third Schedule*, as stipulated by the Minister responsible for the Environment.
- (2) An application under subsection (1) shall be in the form set out in the *Fifth Schedule* and shall be accompanied by the fee prescribed under section 14.
- (3) The Management Authority may, in relation to an application made under subsection (1),
- (a) grant the permit or certificate either unconditionally or subject to such conditions as may be specified in the permit or certificate; or
 - (b) refuse to grant the permit or certificate.

(4) Where the Management Authority refuses to grant a permit or certificate under this section, it shall inform the applicant in writing, stating the reasons therefor.

Information to be submitted prior to obtaining permit

11. A person who wishes to obtain a permit under this Act shall submit the following information to the Management Authority before applying for the permit:

- (a) the type of permit required;
- (b) the importer's and exporter's name, address, telephone, facsimile number and email address;
- (c) the purpose of the transaction, whether it be
 - (i) commercial;
 - (ii) personal; or
 - (iii) scientific research;
- (d) the scientific name of the specimen to be imported, exported, re-exported or introduced from the sea;
- (e) the common name of the specimen to be imported, exported, re-exported or introduced from the sea;
- (f) the quantity of the specimen to be imported, exported, re-exported or introduced from the sea;
- (g) a physical description of the specimen to be imported, exported, re-exported or introduced from the sea; and
- (h) evidence of the source of the specimen, whether
 - (i) taken from the wild;
 - (ii) bred in captivity or artificially propagated; and
 - (iii) a confiscated or seized specimen.

Permit or certificate

12.(1) The Management Authority may issue a permit or certificate for the importation, exportation, re-exportation or introduction from the sea of any species listed in the *Second Schedule*.

(2) A permit or certificate issued by the Management Authority is not transferrable.

(3) An export permit or certificate issued by the Management Authority is valid for a period of 6 months from the date of issue.

(4) An import permit issued by the Management Authority is valid for a period of 12 months from the date of issue.

(5) Every consignment of species shall require a separate permit or certificate.

Form of permit or certificate

13.(1) Every permit or certificate required under this Act shall be issued by the Management Authority.

(2) The permits and certificates issued with respect to the species listed in Appendices I, II and III of the *Second Schedule* shall conform with the provisions of the Convention and any Resolutions of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora.

(3) The permits and certificates issued with respect to the species listed in the *Third Schedule* shall be in the forms prescribed by the Ministry.

Fees

14. The Minister may, with the approval of the Minister responsible for Finance, by order prescribe the fees payable in respect of the grant of any permit or certificate and such other service as the Management Authority may determine.

Grounds for refusal to grant permit or certificate

15. The Management Authority may refuse to grant a permit or certificate where

- (a) the Scientific Authority recommends such refusal on the ground that the grant of the permit or certificate would not be in the public interest, having regard to such factors as the Scientific Authority considers relevant, including
 - (i) the need for the protection of certain species of wild fauna or flora from over-exploitation through international trade;
 - (ii) the preservation of the character of the environment, including the fauna or flora;
 - (iii) the availability of the natural environment for the enjoyment of the public;
- (b) an application contains or is based on false or misleading representation or information which is false in a material particular;
- (c) in the opinion of the Management Authority the applicant cannot provide adequate accommodation for the specimen.

Suspension of permit or certificate

16.(1) Subject to subsection (2), the Management Authority may suspend a permit or certificate where

- (a) the holder is in breach of
 - (i) any provision of this Act or any Regulations made hereunder or any other enactment providing for the protection, conservation and management of natural resources or the environment; or
 - (ii) any term or condition subject to which the permit or certificate is granted;

- (b) the holder notifies the Management Authority in writing that he intends to cease the activities to which the permit or certificate relates for the period stated in the notice.
- (2) The Management Authority shall, within 6 weeks of suspending a permit or certificate, notify the holder in writing of
- (a) the reasons for the suspension;
 - (b) require the holder of the permit or certificate, in the case of a breach, to remedy the breach within the time specified in the notice; and
 - (c) state that the permit or certificate is to be returned within a specified time to the Management Authority where the breach has not been remedied.
- (3) A holder of a permit or certificate who is served with a notice under subsection (2) shall, after remedying the breach which gave rise to the suspension, notify the Management Authority in writing that the breach has been remedied.
- (4) The Management Authority shall, upon receipt of a notice referred to in subsection (3), cause an inspection to be carried out and, if the Management Authority is satisfied that the breach has been remedied, it shall withdraw the notice of suspension.
- (5) A holder of a permit or certificate who without reasonable excuse fails to comply with paragraphs (b) and (c) of subsection (2) is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Revocation of permit or certificate

17.(1) The Management Authority may revoke a permit or certificate where it is satisfied that

- (a) the application contained any false or misleading information in any material particular;

- (b) the holder has failed to remedy a breach which gave rise to the suspension of the permit or certificate under section 16, within the time specified in the notice under subsection (2) of that section;
- (c) there has been a material change in the circumstances which existed at the time the permit or certificate was granted which would justify such revocation.

Appeal

18.(1) A person who is aggrieved by a decision of the Management Authority to

- (a) grant a permit or certificate; or
- (b) suspend, or revoke a permit or certificate;

may appeal in writing to the Minister within 6 weeks of the date of notification of the decision.

(2) The appeal shall set out the grounds of appeal and shall be accompanied by copies of any correspondence, documents or statements that are relevant to the appeal.

(3) Notice in writing of the appeal, together with copies of the correspondence, documents or statements referred to in subsection (2), shall be served on the Management Authority at the time when the appeal is lodged.

(4) The Minister shall, within 7 days of the receipt of the appeal under subsection (1), request the Management Authority to furnish him, within 14 days, with a statement in writing setting out the reasons for its decision.

(5) The Minister may order that any books, papers, documents or statements relating to the appeal which are in the possession of the appellant or the Management Authority be produced at the hearing of the appeal.

(6) The Minister shall cause all parties to the appeal to be informed

- (a) of the date and time of the hearing of the appeal;

- (b) that they may appear themselves or be represented by their attorneys-at-law; and
- (c) that they may summon witnesses in their cause.

Penalty for false declaration

19. Any person who, being required by this Act or any regulations made hereunder,

- (a) fails to make or furnish any declaration, statement, representation or information;
- (b) fails to produce any permit or who, for the purpose of obtaining a permit, makes or furnishes any declaration, statement, representation or information that is false in a material particular; or
- (c) produces any permit which he knows or has reason to believe
 - (i) is false in any material particular; or
 - (ii) has not been given by the person by whom it purports to have been given, or has been in any way altered or tampered with,

is guilty of an offence and is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both.

Power to require information

20.(1) Where the Management Authority has reason to suspect that any scheduled species found in any premises or conveyance or in the possession of any person has been imported in contravention of this Act, the Management Authority may require the person who has in his possession, custody or under his control the scheduled species to furnish the required permit for the species and such other information as the Management Authority may require.

(2) A person who without reasonable excuse fails to comply with subsection (1) is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Power to require scheduled species to be marked

21.(1) The Management Authority may require an importer, exporter or re-exporter of a scheduled species to brand, label or otherwise mark a scheduled species, and shall notify the importer, exporter or re-exporter that the scheduled species should be branded, labelled or otherwise marked within 28 days of receiving the notification.

(2) An importer, exporter or re-exporter who fails to comply with subsection (1) is guilty of an offence, and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

(3) Notwithstanding subsection (1), the Management Authority may brand, label or mark any scheduled species.

(4) A person who alters, defaces or erases any brand, label or mark used by the Management Authority to individually and permanently identify a specimen is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Port of entry and exit

22. The Minister may, after consultation with the Management Authority, for the purpose of controlling or regulating the importation, exportation, re-exportation or introduction from the sea of a species, make an order specifying the port of entry or exit at which the species listed in the *Second Schedule* may be imported or exported.

Traders

23.(1) Every person who wishes to engage in commercial international trade in a species listed in the *Second* or *Third Schedules* and that is captive bred or artificially propagated shall apply to be registered as a trader with the Management Authority.

- (2) Every person who is registered under subsection (1) shall keep records of all species traded and of any transaction with respect to the species.
- (3) The Management Authority may inspect the records, books and premises of any trader registered in accordance with subsection (1).
- (4) Any trader who obstructs or assaults an inspector in the execution of his duty under this Act is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Offence to possess or sell any species without a permit

24.(1) No person shall

- (a) have in his possession; or
- (b) offer or expose for sale

any specimen listed in the *Second* or *Third Schedules* for the purpose of commercial international trade without being registered as a trader.

- (2) Every person who engages in commercial international trade in any specimen of a species without being registered as a trader is guilty of an offence and is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both.

Inspectors

25.(1) The Minister may, on the advice of

- (a) the Chief Agricultural Officer;
- (b) the Senior Veterinary Officer; or
- (c) the Senior Environmental Officer, Ministry of the Environment,

by instrument in writing designate public officers to discharge the functions of inspectors under this Act.

- (2) Every inspector shall
- (a) be charged with the responsibility of enforcing the provisions of this Act;
 - (b) have all the powers, and privileges, rights and protection of a member of the Police Force in the performance of his duties related to the enforcement functions under subsection (1).
- (3) The specific powers conferred by this Act on inspectors are conferred without prejudice to the generality of the powers, rights, privileges and protection mentioned.
- (4) When acting on a general or particular request of an inspector, a member of the Police Force shall have, in addition to such powers as are conferred on him otherwise than by this Act, all powers conferred on an inspector by this Act.

Stop, entry and search

- 26.(1)** For the purposes of this Act, an inspector may without a warrant or court order at any reasonable time
- (a) enter and search any place
 - (i) where the inspector reasonably believes an offence has been committed or is imminent; or
 - (ii) where a specimen of species is being stored and inspect any book, document, permit, certificate, record or thing relating thereto;
 - (b) stop and search any vehicle in which he has reasonable grounds to believe that specimen of species is illegally being transported;
 - (c) seize any vessel, together with its stores and cargo, or vehicle which he has reasonable grounds to believe has been used in the commission of an offence or in respect of which the offence has been committed; or
 - (d) make reasonable inquires of any person, orally or in writing.

(2) A person who operates a vehicle or vessel shall stop the vehicle or vessel when required to do so by an inspector who identifies himself as such and who is acting under paragraph (b) or (c) of subsection (1).

(3) An inspector who exercises a power under subsection (1) shall identify himself as such by the production of his badge, and shall explain the purpose of the inspection.

(4) A power referred to under paragraph (a) of subsection (1) shall not be exercised in relation to a dwelling house except under the authority of an order made under section 28.

Obstructing inspectors

27. Any person who obstructs, assaults, threatens with violence, offers a bribe, bribes or otherwise interferes with an inspector in the exercise of the powers conferred on him under this Act, or attempts to prevent him from executing his duties, is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Orders by magistrates

28.(1) Where a magistrate is satisfied on evidence on oath by an inspector that it would be reasonable for the inspector to exercise a power under section 26 and that

- (a) the power is to be exercised in relation to a dwelling house; or
- (b) the inspector may not be able to effectively carry out his duties without an order under this section because
 - (i) no occupier is present to grant access to a place, vehicle or vessel that is locked or is otherwise inaccessible;
 - (ii) a person has prevented the inspector from exercising a power under section 26(1);

- (iii) there is reasonable ground to believe that a person may prevent the inspector from exercising a power under section 26(1); or
- (iv) there is reasonable ground to believe that an attempt by the inspector to exercise a power under section 26(1) without the order might otherwise defeat the purpose thereof or endanger human life, human health or property,

the magistrate may issue or renew an order authorising the inspector to exercise any power under section 26(1) that is specified in the order for the period of time specified in the order.

(2) An order under this section expires not later than 30 days after the date on which it is made unless renewed, and may be renewed for any reason mentioned in subsection (1) before or after expiry for one or more periods each of which is not more than 30 days.

(3) An order under this section shall be carried out during daylight hours unless the order authorises otherwise.

(4) An order under this section may be issued or renewed on application notwithstanding that no notice of the application is given to any person who may be affected thereby.

Forfeiture of specimens

29.(1) Every specimen of a species in respect of which there is a conviction of an offence under this Act shall be forfeited to the Crown.

(2) Where any specimen of a species is forfeited under subsection (1), the magistrate's court that has ordered the forfeiture may, on application by the Management Authority, order that the specimen be

- (a) returned to the wild;
- (b) stored, kept in or placed under the control of the Management Authority at a rescue centre; or

- (c) returned to its owner in the country of origin at the expense of that State, or to such place as the Management Authority deems appropriate.

Search warrant

30. Where a magistrate is satisfied by information on oath that there is reasonable ground for suspecting

- (a) that any specimen to which this Act applies is in the possession of or under the control of any person on any premises, in contravention of the provisions of this Act or of any regulations made hereunder; or
- (b) that there is in the possession of or under the control of any person on any premises in Barbados, any document directly or indirectly relating to or connected with any transaction or dealing in any such specimen which
 - (i) if carried out would be an offence under this Act; or
 - (ii) relates to a transaction or dealing carried out or intended to be carried out in any place outside Barbados and which would be an offence against the provisions of any corresponding law in force in that place

the magistrate may grant a search warrant authorising the inspector named in the warrant, at any time or times within one month from the date of the warrant, to enter and search the premises and seize and detain any document or species or detain any person found in the premises.

Detention

31.(1) Where a specimen is forfeited in accordance with section 29 and is detained at a rescue centre, the fees incurred with respect to the

- (a) seizure;
- (b) transportation;

- (c) detention;
- (d) feeding;
- (e) medical treatment; or
- (f) destruction

of the specimen are recoverable from the person from whom the specimen was seized until the specimen is disposed of in accordance with paragraph (a) or (c) of section 29(2).

(2) Where a specimen forfeited under section 29 is found to be suffering from a curable disease, the specimen shall be given medical treatment and may upon recovery be returned to the wild, remain in the rescue centre or be returned to the state of export.

Records

32. Every person to whom a permit or certificate is granted pursuant to this Act shall keep such books, documents, records or things as may be prescribed relating to the activities to which the permit or certificate relates.

Failure to keep records

- 33.** Every holder of a permit or a certificate who
- (a) fails to keep any records or other document required by this Act or any regulations made hereunder;
 - (b) fails without reasonable excuse to produce such books, records or other documents to an authorised officer upon being requested to do so

is guilty of an offence and is liable on summary conviction to a fine of \$10 000 or to imprisonment for a term of 6 months or to both.

PART V
MISCELLANEOUS

Offences by a corporation

34. Where an offence is committed by a body corporate under this Act, or any regulations made hereunder are contravened, and it is proven that the offence was committed with the consent or connivance of a director or manager of the body corporate, that director or manager as well as the body corporate is guilty of an offence and is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

Regulations

- 35.** The Minister may make regulations
- (a) providing for the carrying into effect of the Convention and any amendment thereto and international standards and recommended practices for the protection and conservation of endangered species of wild fauna and flora;
 - (b) providing for the issue of permits for the importation, exportation and re-exportation of scheduled species;
 - (c) providing for the cancellation or suspension of permits;
 - (d) providing for the importation, exportation, re-exportation and introduction from the sea of any scheduled species;
 - (e) authorising the sale, possession, control or other dealing in scheduled species, and prescribing the circumstances and conditions under which a person may be in possession or control of, or sell or otherwise deal with, any scheduled species;
 - (f) relating to the branding, packaging, marking either permanently or temporarily and labelling of scheduled species for the purpose of identification; and

(g) generally for carrying out the provisions of this Act.

Commencement

36. This Act comes into operation on a date to be fixed by proclamation.

FIRST SCHEDULE

(Sections 2, 4)

***Convention of International Trade in Endangered Species of Wild Fauna and
Flora***

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;

Have agreed as follows:

ARTICLE I

DEFINITIONS

For the purpose of the present Convention, unless the context otherwise requires:

- (a) “species” means any species, subspecies, or geographically separate population thereof;

- (b) “specimen” means:
- (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
 - (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) “trade” means export, re-export, import and introduction from the sea;
- (d) “re-export” means export of any specimen that has previously been imported;
- (e) “introduction from the sea” means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) “Scientific Authority” means a national scientific authority designated in accordance with Article IX;
- (g) “Management Authority” means a national management authority designated in accordance with Article IX;
- (h) “Party” means a State for which the present Convention has entered into force.

ARTICLE II

FUNDAMENTAL PRINCIPLES

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.
2. Appendix II shall include:
 - (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
 - (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
3. Appendix III shall include all species which any Party identified as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other parties in the control of trade.
4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

ARTICLE III

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN
APPENDIX I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.
2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;

(b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

(c) a Management Authority of a State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

(a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;

(b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

(a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;

(b) a Scientific Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

(c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

ARTICLE IV

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN
APPENDIX II

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.
2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Scientific Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (c) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.
3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.
5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.
6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
 - (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.
7. Certificates referred to in paragraph 6 of this Article may be granted on advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

ARTICLE V

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN
APPENDIX III

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.
2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.
3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.
4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

ARTICLE VI

PERMITS AND CERTIFICATES

1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of this Article.
2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.
3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
4. Any copies of a permit or certificates issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
5. A separate permit or certificate shall be required for each consignment of specimens.
6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.
7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes “mark” means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

ARTICLE VII

EXEMPTIONS AND OTHER SPECIAL PROVISIONS RELATING TO
TRADE

1. The provisions of Articles III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
 - (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
 - (b) in the case of specimens of species included in Appendix II:
 - (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
 - (ii) they are being imported into the owner's State of usual residence; and
 - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purpose, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V.

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates or specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- (a) the exporter or importer registers full details of such specimens with the Management Authority;
- (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
- (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

ARTICLE VIII

MEASURES TO BE TAKEN BY THE PARTIES

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- (a) to penalize trade in, or possession of, such specimens, or both; and
- (b) to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

- (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority

deems appropriate and consistent with the purposes to the present Convention; and

- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article, means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

- (a) the names and addresses of exporters and importers; and
- (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

- (a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and
- (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

ARTICLE IX

MANAGEMENT AND SCIENTIFIC AUTHORITIES

1. Each Party shall designate for the purpose of the present Convention:
 - (a) one or more Management Authorities competent to grant permits of certificates on behalf of that Party; and
 - (b) one or more Scientific Authorities.
2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.
3. Any changes in the designations of authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

ARTICLE X

TRADE WITH STATES NOT PARTY TO THE CONVENTION

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

ARTICLE XI

CONFERENCE OF THE PARTIES

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.
3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
 - (a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
 - (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
 - (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
 - (d) receive and consider any reports presented by the Secretariat or by any Party; and
 - (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.
4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the parties present object:

- (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and
- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located.

Once admitted, these observers shall have the right to participate but not to vote.

ARTICLE XII

THE SECRETARIAT

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Article XV and XVI of the present Convention;

- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the parties may request;
- (h) to make recommendations for the implementation of aims and provisions of the present Convention, including exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

ARTICLE XIII

INTERNATIONAL MEASURES

1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are

not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph I of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

ARTICLE XIV

EFFECT ON DOMESTIC LEGISLATION AND INTERNATIONAL CONVENTIONS

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

- (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
- (b) domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendix I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the Parties thereto insofar as they relate to trade among the States members of that union agreement.

4. A State Party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

ARTICLE XV

AMENDMENTS TO APPENDICES I AND II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of subparagraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
- (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes “Parties present and voting” means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
- (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties;

- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in

relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.

- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendation to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objections has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of

notification under sub-paragraph (*h*) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.

- (*j*) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
- (*k*) The Secretariat shall notify all Parties of the result of the vote.
- (*l*) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (*c*) of paragraph 1 of sub-paragraph (*1*) of paragraph 2 of this Article any Party may by notification in writing to the Depository Government make a reservation with respect to the amendment.

4. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

ARTICLE XVI

APPENDIX III AND AMENDMENTS THERETO

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (*b*) of Article I.

THE LAWS OF BARBADOS

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in questions is included in Appendix III, submit any amendment of such laws and regulations or any new interpretations as they are adopted.

ARTICLE XVII

AMENDMENT OF THE CONVENTION

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present

and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

ARTICLE XVIII

RESOLUTION OF DISPUTES

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at the Hague and the Parties submitting the dispute shall be bound by the arbitral decision.

ARTICLE XIX

SIGNATURE

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

ARTICLE XX

RATIFICATION, ACCEPTANCE, APPROVAL

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depository Government.

ARTICLE XXI

ACCESSION

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depository Government.

ARTICLE XXII

ENTRY INTO FORCE

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depository Government.
2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

ARTICLE XXIII
RESERVATIONS

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:

SECOND SCHEDULE

(Sections 2, 4, 5, 6, 7, 8, 10, 12, 13, 22, 23, 24)

APPENDICES I, II AND III

as adopted by the Conference of the Parties, valid from 16th October 2003

Appendix I

Appendix II

Appendix III

FAUNA (ANIMALS)

PHYLUM CHORDATA

CLASS MAMMALIA
(MAMMALS)

MONOTREMATA

Tachyglossidae Echidnas,
spiny anteaters

Zaglossus spp.

DASYUROMORPHIA

Dasyuridae Dunnarts

Sminthopsis longicaudata
Sminthopsis psammophila

Thylacinidae Tasmanian
wolf, thylacine

Thylacinus cynocephalus
(possibly extinct)

PERAMELEMORPHIA

Peramelidae Bandicotts

Chaeropus ecaudatus
(possibly extinct)

Macrotis lagotis
Macrotis leucura
Perameles bougainville

Appendix I	Appendix II	Appendix III
DIPROTODONTIA		
Phalangeridae Cuscuses	<i>Phalanger orientalis</i> <i>Spilocuscus maculatus</i>	
Vombatidae Northern Bairy-nosed wombat		
<i>Lasiorhinus krefftii</i>		
Macropodidae Kangaroos, wallabies	<i>Dendrolagus inustus</i> <i>Dendrolagus ursinus</i> <i>Lagorchestes hirsutus</i>	
<i>Lagostrophus fasciatus</i> <i>Onychogalea fraenata</i> <i>Onychogalea lunata</i>		
Potoroidae Rat-kangeroos		
<i>Bettongia</i> spp.		
<i>Caloprymnus campestris</i> (possibly extinct)		
SCANDENTIA		
Tupaiidae Tree shrews	Tupaiidae supp.	
CHIROPTERA		
Phllostomidae Broadenosed bat		Platyrrhinus lineatus (Uruguay)
Pteropodidae Fruit bas, flying foxes	<i>Acerodon</i> spp. (except the species included in Appendix I) <i>Acerodon jubatus</i>	

Appendix I	Appendix II	Appendix III
<i>Acerodon lucifer</i> (possibly extinct)	<i>Pteropus</i> spp.(except the species included in Appendix I)	
<i>Pteropus insularis</i> <i>Pteropus mariannus</i> <i>Pteropus molossinus</i> <i>Pteropus phaeocephalus</i> <i>Pteropus pilosus</i> <i>Pteropus samoensis</i> <i>Pteropus tonganus</i>		
PRIMATES Apes, monkeys	PRIMATES spp. (except the species included in Appendix I)	
Lemuridae Large Lemurs		
<i>Lemuridae</i> spp.		
Megaladapidae Sportive Lemurs		
<i>Megaladapidae</i> spp. (possibly extinct)		
Cheirogaleidae Dwarf lemurs		
<i>Cheirogaleidae</i> spp.		
Indridae Avahi, indrid, sifakas, woolly lemurs		
<i>Indridae</i> spp.		
Daubentoniidae		
<i>Daubentonia</i> <i>madagascariensis</i>		

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Appendix I	Appendix II	Appendix III
Callithricidae Marmosets, ramarins		
<i>Callimico goeldii</i>		
<i>Callithrix aurita</i>		
<i>Callithrix flaviceps</i>		
<i>Leontopithecus</i> spp.		
<i>Saguinus bicolor</i>		
<i>Saguinus geoffroyi</i>		
<i>Saguinus leucopus</i>		
<i>Saguinus oedipus</i>		
Cebidae New World monkeys		
<i>Alouatta coibensis</i>		
<i>Alouatta palliata</i>		
<i>Alouatta pigra</i>		
<i>Ateles geoffroyi frontatus</i>		
<i>Ateles geoffroyi</i> <i>panamensis</i>		
<i>Brachyteles arachnoides</i>		
<i>Cacajao</i> spp.		
<i>Chiropotes albinasus</i>		
<i>Lagothrix flavicauda</i>		
<i>Saimiri oerstedii</i>		
Cercopithecidae Old World monkeys		
<i>Cerocebus galeritus</i> <i>galeritus</i>		
<i>Cercopithecus diana</i>		
<i>Macaca silenus</i>		
<i>Mandrillus leucophaeus</i>		
<i>Mandrillus sphinx</i>		
<i>Nasalis concolor</i>		
<i>Nasalis larvatus</i>		
<i>Presbytis potenziani</i>		

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Appendix I	Appendix II	Appendix III
<i>Procolobus pennantii</i>		
<i>kirkii</i>		
<i>Procolobus rufomitratu</i>		
<i>Pygathrix</i> spp.		
<i>Semnopithecus entellus</i>		
<i>Trachypithecus geei</i>		
<i>Trachypithecus pileatus</i>		
Hylobatidae Gibbons		
<i>Hylobatidae</i> spp.		
Hominidae Chimpanzees, gorilla, orangutan		
<i>Gorilla gorilla</i>		
<i>Pan</i> spp.		
<i>Pongo pygmaeus</i>		
XENARTHRA		
Myrmecophagidae American anteaters	<i>Myrmecophaga tridactyla</i>	tamandua mexicana (Guatemala)
Bradypodidae Three-toed sloth	<i>Bradypus variegatus</i>	
Megalonychidae Two-toed sloth		<i>Choloepus hoffmanni</i> (Costa Rica)
Dasypodidae Armadillos		<i>Cabassous centralis</i> (Costa Rica)
		<i>Cabassous tatouay</i> (Uruguay)
	<i>Chaetophractus nationi</i> (A zero annual export quota has been established.	

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Appendix I	Appendix II	Appendix III
	All specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)	
<i>Priodontes maximus</i>		
PHOLIDOTA		
Manidae Pangolins		
	<i>Manis</i> spp. (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>M. javanica</i> and <i>M. pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)	
LAGOMORPHA		
Leporidae Hispid hare, valcano rabbit		
<i>Caprolagus hispidus</i> <i>Romerolagus diazi</i>		
RODENTIA		
Sciuridae Ground squirrels, tree squirrels		
<i>Cynomys mexicanus</i>		
		<i>Epixerus ebii</i> (Ghana) <i>Marmota caudata</i>

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Appendix I	Appendix II	Appendix III
		(India) Marmota himalayana (India)
	<i>Ratufa</i> spp.	
Anomaluridae African flying squirrels		sciurus deppei (Costa Rica)
		Anomalurus beecrofti (Ghana) Anomalurus derbianus (Ghana) Anomalurus pelii (Ghana) Idiurus macrotis (Ghana)
Muridae Mice, rats		
	<i>Leporillus conditor</i> <i>Pseudomys praeconis</i> <i>Xeromys myoides</i> <i>Zyomys pedunculatus</i>	
Hystricidae Crested porcupine		<i>Hystrix cristata</i> (Ghana)
Erethizontidae New World porcupines		<i>Sphiggurus mexicanus</i> (Honduras) <i>Sphiggurus spinosus</i> (Uruguay)

Appendix I	Appendix II	Appendix III
Agoutidae Paca		<i>Agouti paca</i> (Honduras)
Dasyproctidae Agouti		<i>Dasyprocta punctata</i> (Honduras)
Chinchillidae Chinchilla <i>Chinchilla spp.</i> (specimens of the domesticated form are not subject to the provisions of the Convention)	CETACEA (Except the species included in Appendix I. A zero annual export quota has been established for live specimens from the Black Sea population of <i>Tursiops truncatus</i> removed from the wild and traded for primarily commercial purposes)	
CETACEA Dolphins, porpoises, whales		
Platanistidae River dolphins <i>Lipotes vexillifer</i> <i>Platanista spp.</i>		
Ziphiidae Beaked whales, bottles-nosed whales <i>Berardius spp.</i>		

Appendix I	Appendix II	Appendix III
<i>Hyperoodon</i> spp.		
Physeteridae Sperm whales		
<i>Physeter catodon</i>		
Delphinidae dolphins		
<i>Orcaella brevirostris</i>		
<i>Sotalia</i> spp.		
<i>Sousa</i> spp.		
Phocoenidae Porpoises		
<i>Neophocaena phocaenoides</i>		
<i>Phocoena sinus</i>		
Eschrichtiidae Grey whale		
<i>Eschrichtius robustus</i>		
Balaenopteridae Humpback whale, rorquals		
<i>Balaenoptera acutorostrata</i>		
(Except the population of West Greenland, which is included in Appendix II)		
<i>Balaenoptera bonaerensis</i>		
<i>Balaenoptera borealis</i>		
<i>Balaenoptera edeni</i>		
<i>Balaenoptera musculus</i>		
<i>Balaenoptera physalus</i>		
<i>Megaptera novaeangliae</i>		
Balaenidae Bowhead whale, right whales		
<i>Balaena mysticetus</i>		
<i>Ebalaena</i> spp.		

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Appendix I	Appendix II	Appendix III
Neobalaenidae Pygmy right whale		
<i>Caperea marginata</i>		
CARNIVORA		
Canidae Bush dog, foxes, wolves		
		<i>Carnis aureus</i> (India)
	<i>Canis lupus</i> (Except the population of Bhutan, India, Nepal and Pakistan, which are included in Appendix I)	
<i>Canis lupus</i> (Only the population of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II)	<i>Cerdocyon thous</i>	
	<i>Chrysocyon brachyurus</i>	
	<i>Cuon alpinus</i>	
	<i>Pseudalopex culpaeus</i>	
	<i>Pseudalopex griseus</i>	
	<i>Pseudalopex gymnocercus</i>	
<i>Speothos venaticus</i>		<i>Vulpes bengalensis</i> (India)
	<i>Vulpus cana</i>	
		<i>Vulpes vulpes griffithi</i> (India)
		<i>Vulpes vulpes montana</i> (India)
		<i>Vulpes vulpes pusilla</i> (India)
	<i>Vulpes zerda</i>	

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Appendix I	Appendix II	Appendix III
Ursidae Bears, pandas	<i>Ursidae spp.</i> (Except the species included in Appendix I)	
<i>Ailuropoda melanoleuca</i>		
<i>Ailurus fulgens</i>		
<i>Helarctos malayanus</i>		
<i>Melursus ursinus</i>		
<i>Tremarctos ornatus</i>		
<i>Ursus arctos</i> (Only the population of Bhutan, China, Mexico and Mongolia; all other populations are included in Appendix II)		
<i>Ursus arctos isabellinus</i>		
<i>Ursus thibetanus</i>		
Procyonidae Coatis, kinkajou, olingos		<i>Bassaricyon gabbii</i> (Costa Rica) <i>Bassariscus sumichrasti</i> (Costa Rica) <i>Nasua narica</i> (Honduras) <i>Nasua nasua solitaria</i> (Uruguay) <i>Potos flavus</i> (Honduras)
Mustelidae Badgers, martens, weasels, etc.		
Lutrinae Otters	<i>Lutrinae spp.</i> (Except the species included in Appendix I)	
<i>Aonyx congicus</i> (Only the populations of Cameroon and Nigeria; all other		

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Appendix I	Appendix II	Appendix III
populations are included in Appendix II)		
Enhydra lutris nereis		
<i>Lontra felina</i>		
<i>Lontra longicaudis</i>		
<i>Lontra provocax</i>		
Lutra lutra		
<i>Pteronura brasiliensis</i>		
Mellivorinae Honey Badger		<i>Mellivora capensis</i> (Botswana, Ghana)
Mephitinae Hog-nosed skunk	<i>Conepatus humboldtii</i>	
		<i>Eira barbara</i> (Honduras)
		<i>Galictis vittata</i> (Costa Rica)
		<i>Martes flavigula</i> (India)
		<i>Martes foina intermedia</i> (India)
Mustelinae Grisons, martens, tayra, weasels		<i>Martes gwathinsii</i> (India)
		<i>Mustela altaica</i> (India)
		<i>Mustela erminea ferghance</i> (India)
		<i>Mustela kathiah</i> (India)
<i>Mustela nigripes</i>		<i>Mustela sibirica</i> (India)
Viverridae Binturong, civets, falanouc, fossa,		<i>Arctictis binturong</i> (India)
		<i>Civettictis civetts</i>

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Appendix I	Appendix II	Appendix III
linsangs, otter-civet, palm civets	<i>Cryptoprocta ferox</i> <i>Cynogale bennettii</i> <i>Eupleres goudotii</i> <i>Fossa fossana</i> <i>Hemigalus derbyanus</i>	(Botswana)
	<i>Prionodon linsang</i>	<i>Paguma larvata</i> (India) Paradoxurus hermaphroditus (India) <i>Paradoxurus jerdoni</i> (India)
<i>Prionodon pardicolor</i>		Viverra civettina (India) <i>Viverra zibetha</i> (India) <i>Viverricular indica</i> (India)
Herpestidae Mongooses		<i>Herpestes brachyurus</i> <i>fusus</i> (India) <i>Herpestes edwardsii</i> (India) <i>Herpestes javanicus</i> <i>auropunctatus</i> (India) Herpestes urva (India) <i>Herpestes vitticollis</i> (India)

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Appendix I	Appendix II	Appendix III
Hyaenidae Aardwolf		<i>Proteles cristatus</i> (Botswana)
Felidae Cats	<i>Felidae</i> spp. (Except the species included in Appendix I. Specimens of the domesticated form are not subject to the provisions of the Convention)	
<i>Acinonyx jubatus</i> (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 50; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article III of the Convention)		
<i>Caracal caracal</i> (Only the population of Asia; all other populations are included in Appendix II)		
<i>Catopuma temminckii</i> <i>Felis nigripes</i> <i>Herpailurus yaguarondi</i> (Only the populations of Central and North America; all other populations are included in Appendix II)		
<i>Leopardus pardalis</i>		

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Appendix I	Appendix II	Appendix III
<i>Leopardus tigrinus</i>		
<i>Leopardus wiedii</i>		
<i>Lynx pardinus</i>		
<i>Neofelis nebulosa</i>		
<i>Oncifelis geoffroyi</i>		
<i>Oreailurus jacobita</i>		
<i>Panthera leo persica</i>		
<i>Panthera onca</i>		
<i>Panthera pardus</i>		
<i>Panthera tigris</i>		
<i>Pardofelis marmorata</i>		
<i>Prionailurus bengalensis</i> <i>bengalensis</i> (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II)		
<i>Prionailurus planiceps</i>		
<i>Prionailurus rubiginosus</i> (only the population of India; all other populations are included in Appendix II)		
<i>Puma concolor coryi</i>		
<i>Puma concolor</i> <i>costaricensis</i>		
<i>Puma concolor cougar</i>		
<i>Uncia uncia</i>		
Otariidae Fur seals, sealions	<i>Arctocephalus</i> spp. (Except the species included in Appendix I)	
<i>Arctocephalus townsendi</i>		
Odobenidae Walrus		<i>Odobenus rosmarus</i> (Canada)

Appendix I	Appendix II	Appendix III
Phocidae Seals		
	<i>Mirounga leonina</i>	
<i>Monachus spp.</i>		
PROBOSCIDEA		
Elephantidae Elephants		
<i>Elephas maximus</i>	<i>Loxodonta africana</i> (Only	
<i>Loxodonta africana</i>	the populations of	
(Except the population of	Botswana ¹ , Namibia ¹ ,	
Botswana, Namibia, South	South Africa ¹ and	
Africa and Zimbabwe,	Zimbabwe ² , all other	
which are included in	populations are included in	
Appendix II)	Appendix I)	
SIRENIA		
Dugongidae Dugong		
<i>Dugong dugon</i>		
Trichechidae Manatees		
<i>Trichechus inunguis</i>		
<i>Trichechus manatus</i>		
	<i>Trichechus senegalensis</i>	
PERISSODACTYLA		
Equidae Horses, wild		
asses, zebras		
<i>Equus africanus</i> (Excludes		
the domesticated form,		
which is referenced as		
<i>Equus asinus</i> , and is not		
subject to the provisions of		
the Convention)		

Appendix I	Appendix II	Appendix III
<i>Equus grevyi</i>	<i>Equus hemionus</i> (Except the subspecies included in Appendix I)	
Equus hemionus hemionus	<i>Equus kiang</i> <i>Equus onager</i> (Except the subspecies included in Appendix I)	
<i>Equus onager khur</i> <i>Equus przewalskii</i> <i>Equus zebra zebra</i>	<i>Equus zebra hartmannae</i>	
Tapiridae Tapirs		
<i>Tapiridae spp.</i> (Except the species included in Appendix II)	<i>Tapirus terrestris</i>	
Rhinocerotidae Rhinoceroses		
<i>Rhinocerotidae spp.</i> (Except the subspecies included in Appendix II)	<i>Ceratotherium simum simum</i> (Only the population of South Africa and Swaziland; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and	

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Appendix I	Appendix II	Appendix III
	the trade in them shall be regulated accordingly)	
ARTIODACTYLA		
Tragulidae Mouse-deer		<i>Hyemoschus aquaticus</i> (Ghana)
Suidae Babirusa, pygmy hog		
<i>Babyrousa babyrussa</i> <i>Sus salvanius</i>		
	<i>Tayassuidae spp.</i> (Except the species included in Appendix I and the populations of <i>Pecari tajacu</i> of Mexico and the United States of America, which are not included in the Appendices)	
Tayassuidae Peccaries		
<i>Catagonus wagneri</i>		
Hippopotamidae Hippopotamuses	<i>Hexaprotodon liberiensis</i> <i>Hippopotamus amphibius</i>	
Camelidae Guanaco, vicuna	<i>Lama guanicoe</i>	
<i>Vicugna vicugna</i> (Except the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile	<i>Vicugna vicugna</i> (Only the populatons of Argentina ³ , [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Juju, Salta, Catamarca, La Rioja and San Juan]' Bolivia ⁴ [the whole population]; Chile ⁵ ,	

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Appendix I	Appendix II	Appendix III
[population of the Primera Región]; and Peru [the whole population]; which are included in Appendix II)	[population of the Primera Región]; Peru ⁶ [the whole population]; all other populations are included in Appendix I)	
Moschidae Musk deer		
<i>Moschus</i> spp. (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)	<i>Moschus</i> spp. (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; which are included in Appendix II)	
Cervidae Deer, guemals, muntjacs, pudus		
<i>Axis calamianensis</i>		
<i>Axis kuhlii</i>		
<i>Axis porcinus annamiticus</i>	<i>Cervus elaphus bactrianus</i>	<i>Cervus elaphus barbarus</i> (Tunisia)
<i>Blastocerus dichotomus</i>		
<i>Cervus duvaucelii</i>		
<i>Cervus elaphus hanglu</i>		
<i>Cervus eldi</i>		<i>Mazama americana cerasina</i> (Guatemala)
<i>Dama mesopotamica</i>		
<i>Hippocamelus</i> spp.		
<i>Megamuntiacus</i>		
<i>vuquanghensis</i>		
<i>Muntiacus crinifrons</i>		<i>Odocoileus virginianus mayensis</i> (Guatemala)
<i>Ozotoceros bezoarticus</i>		
	<i>Pudu mephistophiles</i>	
<i>Pudu puda</i>		

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Appendix I	Appendix II	Appendix III
Antilocapridae Pronghorn		
<i>Antilocapra americana</i> (Only the population of Mexico; no other population is included in the Appendices)		
Bovidae Antelopes, cattle, duikers, gazelles, goats, sheep. etc.		
<i>Addax nasomaculatus</i>		
	<i>Ammotragus lervia</i>	
		<i>Antilope cervicapra</i> (Nepal)
	<i>Bison bison athabascae</i>	
<i>Bos gaurus</i> (Excludes the domesticated form, which is referenced as <i>Bos frontalis</i> , and is not subject to the provisions of the Convention)		
<i>Bos mutuus</i> (Excludes the domesticated form, which is referenced as <i>Bos grunniens</i> , and is not subject to the provisions of the Convention)		
<i>Bos sauveli</i>		<i>Bubalus arnee</i> (Nepal) (Excludes the domesticated form, which is referenced as <i>Bubalus bubalis</i>)

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Appendix I	Appendix II	Appendix III
<i>Bubalus depressicornis</i>		
<i>Bubalus mindorensis</i>	<i>Budorcas taxicolor</i>	
<i>Bubalus quarlesi</i>		
<i>Capra falconeri</i>		
	<i>Cephalophus dorsalis</i>	
	<i>Cephalophus monticola</i>	
<i>Cephalophus jentinki</i>	<i>Cephalophus ogilbyi</i>	<i>Damaliscus lunatus</i> (Ghana)
	<i>Cephalophus silvicultor</i>	
	<i>Cephalophus zebra</i>	
	<i>Damaliscus pygargus</i>	
	<i>pygargus</i>	
		<i>Gazella cuvieri</i> (Tunisia)
<i>Gazella dama</i>		<i>Gazella dorcas</i> (Tunisia)
		<i>Gazella leptoceros</i> (Tunisia)
<i>Hippotragus niger variani</i>	<i>Kobus leche</i>	
<i>Naemorhedus baileyi</i>		
<i>Naemorhedus caudatus</i>		
<i>Naemorhedus goral</i>	<i>Ovis ammon</i> (Except the subspecies included in Appendix I)	
<i>Naemorhedus sumatraensis</i>		
<i>Oryx dammah</i>		
<i>Oryx leucoryx</i>		
	<i>Ovis canadensis</i> (Only the population of Mexico; no other population is included in the Appendices)	
<i>Ovis ammon hodgsonii</i>		
<i>Ovis ammon nigrimontana</i>		
<i>Ovis orientalis ophion</i>		

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Appendix I	Appendix II	Appendix III
<p><i>Ovis vignei vignei</i> <i>Pantholops hodgsonii</i> <i>Pseudoryx nghetinhensis</i> <i>Rupicapra pyrenaica ornata</i></p>	<p><i>Ovis vignei</i> (Except the subspecies included in Appendix I)</p>	<p><i>Tetracerus quadricornis</i> (Nepal) <i>Tragelaphus eurycerus</i> (Ghana) <i>Tragelaphus spekii</i> (Ghana)</p>
	<p><i>Saiga tatarica</i></p>	<p>CLASS AVES (BIRDS)</p>
<p>STRUTHIONIFORMES</p>		
<p>Struthionidae Ostrich</p>		
<p><i>Struthio camelus</i> (Only the population of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Mali, Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Appendices)</p>		
<p>RHEIFORMES</p>		
<p>Rheidae Rheas</p>	<p><i>Rhea americana</i></p>	

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Appendix I	Appendix II	Appendix III
<i>Rhea pennata</i> (Except <i>Rhea pennata pennata</i> which is included in Appendix II)	<i>Rhea pennata pennata</i>	
TINAMIFORMES		
Tinamidae Tinamou		
<i>Tinamus solitarius</i>		
SPHENISCIFORMES		
Spheniscidae Penguins		
	<i>Spheniscus demersus</i>	
<i>Spheniscus humboldti</i>		
PODICIPEDIFORMES		
Podicipedidae Grebe		
<i>Podilymbus gigas</i>		
PROCELLARIIFORMES		
Diomedeidae Albatross		
<i>Diomedea albatrus</i>		
PELECANIFORMES		
Pelecanidae Pelican		
<i>Pelecanus crispus</i>		
Sulidae Booby		
<i>Papasula abbotti</i>		
Fregatidae Frigatebird		
<i>Fregata andrewsi</i>		

Appendix I	Appendix II	Appendix III
CICONIIFORMES		
Ardeidae Egrets, herons		<i>Ardea goliath</i> (Ghana) <i>Bubulcus ibis</i> (Ghana) <i>Casmerodius albus</i> (Ghana) <i>Egretta garzetta</i> (Ghana)
Balaenicipitidae Shoebill, whale-headed stork	<i>Balaeniceps rex</i>	
Ciconiidae Storks		
<i>Ciconia boyciana</i>	<i>Ciconia nigra</i>	<i>Ephippiorhynchus senegalensis</i> (Ghana)
<i>Jabiru mycteria</i>		<i>Leptoptilos crumeniferus</i> (Ghana)
<i>Mycteria cinerea</i>		
Threskiornithidae Ibises, spoonbills		<i>Bostrychia hagedash</i> (Ghana) <i>Bostrychia rara</i> (Ghana)
	<i>Eudocimus ruber</i> <i>Geronticus calvus</i>	
<i>Geronticus eremita</i> <i>Nipponia nippon</i>	<i>Platalea leucorodia</i>	<i>Threskiornis aethiopicus</i> (Ghana)
Phoenicopteridae Flamingos	<i>Phoenicopteridae spp.</i>	
ANSERIFORMES		
Anatidae Ducks, geese, swans, etc.		<i>Alopochen aegytiacus</i> (Ghana) <i>Anas acuta</i> (Ghana)
<i>Anas aucklandica</i>	<i>Anas bernieri</i>	

Appendix I	Appendix II	Appendix III
		<i>Anas capensis</i> (Ghana) <i>Anas clypeata</i> (Ghana) <i>Anas crecca</i> (Ghana)
	<i>Anas formosa</i>	
<i>Anas laysanensis</i> <i>Anas oustaleti</i>		<i>Anas penelope</i> (Ghana) <i>Anas querquedula</i> (Ghana) <i>Aythya nyroca</i> (Ghana)
<i>Branta canadensis</i> <i>leucopareia</i>	<i>Branta ruficollis</i>	
<i>Branta sandvicensis</i>		<i>Cairina moschata</i> (Honduras)
		<i>Dendrocygna</i> <i>atumnalis</i> (Honduras) <i>Dendrocygna bicolor</i> (Ghana, Honduras) <i>Dendrocygna viduata</i> (Ghana) <i>Nettapus auritus</i> (Ghana)
<i>Cairina scutulata</i>	<i>Coscoroba coscoroba</i> <i>Cygnus melanocorypha</i> <i>Dendrocygna arborea</i>	
	<i>Oxyura leucocephala</i>	
		<i>Plectropterus gambensis</i> (Ghana) <i>Pteronetta hartlaubii</i> (Ghana)
<i>Rhodonessa</i> <i>caryophyllacea</i> (possibly extinct)	<i>Sarkidiornis melanotos</i>	
FALCONIFORMES Eagles, falcons, hawks, vultures	FALCONIFORMES spp. (Except the species included in Appendices I	

Appendix I	Appendix II and III and the species of the family Cathartidae)	Appendix III
Cathartidae New world vultures		
<i>Gymnogyps californianus</i>		<i>Sarcoramphus papa</i> (Honduras)
<i>Vultur gryphus</i>		
Accipitridae Hawks, eagles		
<i>Aquila adalberti</i>		
<i>Aquila heliaca</i>		
<i>Chondrohierax uncinatus</i> <i>wilsonii</i>		
<i>Haliaeetus albicilla</i>		
<i>Haliaeetus leucocephalus</i>		
<i>Harpia harpyja</i>		
<i>Pithecophaga jefferyi</i>		
Falconidae Falcons		
<i>Falco araea</i>		
<i>Falco jugger</i>		
<i>Falco newtoni</i> (Only the population of Seychelles)		
<i>Falco pelegrinoides</i>		
<i>Falco peregrinus</i>		
<i>Falco punctatus</i>		
<i>Falco rusticolus</i>		
GALLIFORMES		
Megapodiidae		
Megapodes, scrubfowl		

Appendix I	Appendix II	Appendix III
<i>Macrocephalon maleo</i>		<i>Crax alberti</i> (Colombia) <i>Crax daubentoni</i> (Colombia) <i>Crax globulosa</i> (Colombia) <i>Crax rubra</i> (Colombia, Costa Rica, Guatemala, Honduras)
Cracidae Chachalacas, currassows, guans		
<i>Crax blumenbachii</i>		
<i>Mitu mitu</i>		
<i>Oreophasis derbianus</i>		<i>Ortalis vetula</i> (Guatemala, Honduras) <i>Pauxi pauxi</i> (Colombia)
<i>Penelope albipennis</i>		<i>Penelope purpurascens</i> (Honduras) <i>Penelopina nigra</i> (Guatemala)
<i>Pipile jacutinga</i>		
<i>Pipile pipile</i>		<i>Agelastes meleagrides</i> (Ghana) <i>Agriocharis ocellata</i> (Guatemala) <i>Arborophila charltonii</i> (Malaysia) <i>Arborophila orientalis</i> (Malaysia)
Phasianidae Grouse, guineafowl, partridges, pheasants, tragopans		
	<i>Argusianus argus</i>	

Appendix I	Appendix II	Appendix III
<p><i>Catreus wallichii</i> <i>Colinus virginianus</i> <i>ridgwayi</i></p>	<p><i>Gallus sonneratii</i> <i>Ithaginis cruentus</i></p>	<p><i>Caloperdix oculatea</i> (Malaysia)</p>
<p><i>Crossoptilon crossoptilon</i> <i>Crossoptilon harmani</i> <i>Crossoptilon</i> <i>mantchuricum</i></p>	<p><i>Lophophorus impejanus</i> <i>Lophophorus lhuysii</i> <i>Lophophorus sclateri</i> <i>Lophura edwardsi</i></p>	<p><i>Lophura</i> <i>erythrophthalma</i> (Malaysia) <i>Lophura ignita</i> (Malaysia)</p>
<p><i>Lophura imperialis</i> <i>Lophura swinhoii</i></p>	<p><i>Pavo muticus</i> <i>Polyplectron</i> <i>bicalcaratum</i></p>	<p><i>Melanoperdix nigra</i> (Malaysia)</p>
<p><i>Polyplectron emphanum</i></p>	<p><i>Polyplectron germaini</i></p>	<p><i>Polyplectron</i> <i>inopinatum</i> (Malaysia)</p>
<p><i>Rheinardia ocellata</i></p>	<p><i>Polyplectron malacense</i> <i>Polyplectron</i> <i>schleiermacheri</i></p>	

Appendix I	Appendix II	Appendix III
		<i>Rhizothera longirostris</i> (Malaysia) <i>Rollulus rouloul</i> (Malaysia)
<i>Syrmaticus ellioti</i> <i>Syrmaticus humiae</i> <i>Syrmaticus mikado</i> <i>Tetraogallus caspius</i> <i>Tetraogallus tibetanus</i> <i>Tragopan blythii</i> <i>Tragopan caboti</i> <i>Tragopan melanocephalus</i>	<i>Tragopan satyra</i> (Nepal)	
<i>Tympanuchus cupido</i> <i>artwateri</i>		
GRUIFORMES		
Gruidae Cranes	<i>Gruidae spp.</i> (Except the species included in Appendix I)	
<i>Grus americana</i> <i>Grus canadensis nesiotas</i>		
<i>Grus canadensis pulla</i>		
<i>Grus japonensis</i> <i>Grus leucogeranus</i> <i>Grus monacha</i> <i>Grus nigricollis</i> <i>Grus vipio</i>		
Rallidae Rail		
<i>Gallirallus sylvestris</i>		
Rhynochetidae Kagu		

Appendix I	Appendix II	Appendix III
<i>Rhynochetos jubatus</i>		
Otididae Bustards		
	<i>Otididae spp.</i> (Except the species included in Appendix I)	
<i>Ardeotis nigriceps</i>		
<i>Chlamydotis undulata</i>		
<i>Eupodotis bengalensis</i>		
CHARADRIIFORMES		
Burhiniidae Thick-knee		<i>Burhinus bistrriatus</i> (Guatemala)
Scolopacidae Curlews, greenshanks		
<i>Numenius borealis</i>		
<i>Numenius tenuirostris</i>		
<i>Tringa guttifer</i>		
Laridae Gull		
<i>Larus relictus</i>		
COLUMBIFORMES		
Columbidae Doves, pigeons		
		<i>Columba guinea</i> (Ghana)
		<i>Columba iriditorques</i> (Ghana)
<i>Caloenas nicobarica</i>		<i>Columba livia</i> (Ghana)
		<i>Columbia mayeri</i> (Mauritius)
		<i>Columba uncinata</i> (Ghana)

Appendix I	Appendix II	Appendix III
<i>Ducula mindorensis</i>	<i>Gallicolumba luzonica</i> <i>Goura</i> spp	<i>Oena capensis</i> (Ghana) <i>Streptopelia decipiens</i> (Ghana) <i>Streptopelia roseogrisea</i> (Ghana) <i>Streptopelia semitorquata</i> (Ghana) <i>Streptopelia senegalensis</i> (Ghana) <i>Streptopelia turtur</i> (Ghana) <i>Streptopelia vinacea</i> (Ghana) <i>Treron calva</i> (Ghana) <i>Treron waalia</i> (Ghana) <i>Turtur abyssinicus</i> (Ghana) <i>Turtur afer</i> (Ghana) <i>Turtur brehmeri</i> (Ghana) <i>Turtur tympanistreria</i> (Ghana)
PSITTACIFORMES Amazons, cockatoos, lories, lorikeets, macaws, parakeets, parrots	PSITTACIFORMES spp. (Except the species included in Appendix I and Appendix III, and excluding <i>Agapornis</i> , <i>roseicollis</i> , <i>Melopsittacus</i>	

Appendix I

Cacatuidae Cockatoos
Cacatua goffini
Cacatua haematuropygia
Cacatua moluccensis
Cacatua sulphurea
Probosciger aterrimus

Loriidae Lories, lorikeets
Eso histrio
Vini ultramarina
Amazona arausiaca
Amazona barbadensis
Amazona brasiliensis
Amazona finschi
Amazona guildingii
Amazona imperialis
Amazona leucocephala
Amazona ochrocephala
auropalliata
Amazona ochrocephala
belizensis
Amazona ochrocephala
caribaea
Amazona ochrocephala
oratrix
Amazona ochrocephala
parvipes
Amazona ochrocephala
tresmariae
Amazona pretrei
Amazona rhodocorytha
Amazona tucumana
Amazona versicolor
Amazona vinacea

Appendix II

undulatus and *Nymphicus*
hollandicus, which are not
included in the
Appendices)

Appendix III

Appendix I	Appendix II	Appendix III
<i>Amazona viridigenalis</i>		
<i>Amazona vittata</i>		
<i>Anodorhynchus</i> spp.		
<i>Ara ambigua</i>		
<i>Ara glaucogularis</i> (Often traded under the incorrect designation <i>Ara caninde</i>)		
<i>Ara macao</i>		
<i>Ara militaris</i>		
<i>Ara rubrogenys</i>		
<i>Cyanopsitta spixii</i>		
<i>Cyanoramphus forbesi</i>		
<i>Cyanoramphus novaezelandiae</i>		
<i>Cyclopsitta diophthalma coxeni</i>		
<i>Eunymphicus cornutus</i>		
<i>Geopsittacus occidentalis</i> (possibly extinct)		
<i>Guarouba guarouba</i>		
<i>Neophema chrysogaster</i>		
<i>Ognorhynchus icterotis</i>		
<i>Pezoporus wallicus</i>		
<i>Pionopsitta pileata</i>		
<i>Propyrrhura couloni</i>		
<i>Propyrrhura maracana</i>		
<i>Psephotus chrysopterygius</i>		
<i>Psephotus dissimilis</i>		
<i>Psephotus pulcherrimus</i> (possibly extinct)		
<i>Psittacula echo</i>		<i>Psittacula krameri</i> (Ghana)
<i>Pyrrhura cruentata</i>		
<i>Rhynchopsitta</i> spp.		
<i>Strigops habroptilus</i>		

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Appendix I	Appendix II	Appendix III
<i>Vini ultramarina</i>		
CUCULIFORMES		
Musophagidea		<i>Corythaeola cristata</i> (Ghana)
Turacos		<i>Crinifer piscator</i> (Ghana)
	<i>Musophaga</i> <i>porphyreolopha</i>	
		<i>Musophaga violacea</i> (Ghana)
	<i>Tauraco spp.</i>	
STRIGIFORMES Owls		
	STRIGIFORMES spp. (Except the species included in Appendix I)	
Tytonidae Barn owl		
<i>Tyto soumagnei</i>		
Strigidae Owls		
<i>Athene blewitti</i>		
<i>Mimizuku gurneyi</i>		
<i>Ninox novaeseelandiae</i> <i>undulata</i>		
<i>Ninox squamipila natalis</i>		
APODIFORMES		
Trochilidae		
Hummingbirds		

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Appendix I	Appendix II	Appendix III
	<i>Trochilidae</i> spp. (Except the species included in Appendix I)	
<i>Glaucis dohrnii</i>		
TROGONIFORMES		
Trogonidae Quetzal		
<i>Pharomachrus mocinno</i>		
CORACIIFORMES		
Bucerotidae Hornbills		
	<i>Aceros</i> spp. (Except the species included in Appendix I)	
<i>Aceros nipalensis</i>		
<i>Aceros subruficollis</i>		
	<i>Anorrhinus</i> spp.	
	<i>Anthracoceros</i> spp.	
	<i>Buceros</i> spp. (Except the species included in Appendix I)	
<i>Buceros bicornis</i>		
<i>Buceros vigil</i>	<i>Penelopides</i> spp.	
PICIFORMES		
Capitonidae Barbet		
		<i>Semnornis ramphastinus</i> (Ghana)
Ramphastidae <i>Toucans</i>		
		<i>Bailloni</i> <i>bailloni</i> (Argentina)

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Appendix I	Appendix II	Appendix III
	<i>Pteroglossus aracari</i>	
		<i>Pteroglossus castanotis</i> (Argentina)
	<i>Pteroglossus viridis</i>	
		<i>Ramphastos discolorus</i> (Argentina)
	<i>Ramphastos sulfuratus</i>	
	<i>Ramphastos toco</i>	<i>Selenidera</i>
	<i>Ramphastos tucanus</i>	<i>maculirostris</i> (Argentina)
	<i>Ramphastos vitellinus</i>	
Picidae Woodpeckers		
<i>Campephilus imperialis</i>		
<i>Dryocopus javensis</i>		
<i>richardsi</i>		
PASSERIFORMES		
Cotingidae Cotingas		
		<i>Cephalopterus ornatus</i> (Colombia)
		<i>Cephalopterus</i> <i>penduliger</i> (Colombia)
<i>Cotinga maculata</i>		
	<i>Rupicola</i> spp.	
<i>Xipholena atropurpurea</i>		
Pittidae Pittas		
	<i>Pitta guajana</i>	
<i>Pitta gurneyi</i>		
<i>Pitta kochi</i>		

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Appendix I	Appendix II	Appendix III
	<i>Pitta nympa</i>	
Atrichornithidae Scrub-bird		
<i>Atrichornis clamosus</i>		
Hirundinidae Martin		
<i>Pseudochelidon sirintarae</i>		
Pycnonotidae Bulbul		
	<i>Pycnonotus zeylanicus</i>	
Muscicapidae Old World flycatchers		<i>Bebrornis rodericanus</i> (Mauritius)
	<i>Cyornis ruckii</i>	
Dasyornis broadbenti <i>litoralis</i> (possibly extinct)		
<i>Dasyornis longirostris</i>		
	<i>Garrulax canorus</i> <i>Leiothrix argentauris</i> <i>Leiothrix lutea</i> <i>Liocichla omeiensis</i>	
<i>Picathartes</i> <i>gymnocephalus</i>		
<i>Picathartes oreas</i>		<i>Terpsiphone</i> <i>bourbonnensis</i> (Mauritius)
Zosteropidae White-eye		
<i>Zosterops albogularis</i>		
Meliphagidae		

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Appendix I	Appendix II	Appendix III
Honeyeater		
<i>Lichenostomus melanops cassidix</i>		
Emberizidae	<i>Gubernatrix cristata</i>	
Cardinals, tanagers	<i>Paroaria capitata</i>	
	<i>Paroaria coronata</i>	
	<i>Tangara fastuosa</i>	
Icteridae Blackbirds		
<i>Agelaius flavus</i>		
Fringillidae Finches		
<i>Carduelis cucullata</i>	<i>Carduelis yarrellii</i>	
		<i>Serinus canicapillus</i> (Ghana)
		<i>Serinus leucopygius</i> (Ghana)
		<i>Serinus mozambicus</i> (Ghana)
Estrildidae Mannikins, waxbills		<i>Amandava fasciata</i> (Ghana)
	<i>Amandava formosa</i>	
		<i>Amandava subflava</i> (Ghana)
		<i>Estrilda astrild</i> (Ghana)
		<i>Estrilda caerulescens</i> (Ghana)
		<i>Estrilda melpoda</i> (Ghana)
		<i>Estrilda troglodytes</i> (Ghana)
		<i>Lagonosticta rara</i> (Ghana)

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Appendix I	Appendix II	Appendix III
		<i>Lagonosticta rubricata</i> (Ghana)
		<i>Lagonosticta rufopita</i> (Ghana)
		<i>Lagonosticta senegala</i> (Ghana)
		<i>Lagonosticta vinacea</i> (Ghana)
		<i>Lonchura bicolor</i> (Ghana)
		<i>Lonchura cantans</i> (Ghana)
		<i>Lonchura cucullata</i> (Ghana)
		<i>Lonchura fringilloides</i> (Ghana)
		<i>Mandingoa nitidula</i> (Ghana)
		<i>Nesocharis capistrata</i> (Ghana)
		<i>Nigrita bicolor</i> (Ghana)
		<i>Nigrita canicapilla</i> (Ghana)
		<i>Nigrita fusconota</i> (Ghana)
		<i>Nigrita luteifrons</i> (Ghana)
		<i>Ortygospiza atricollis</i> (Ghana)
	<i>Padda oryzivora</i>	
		<i>Parmoptila rubrifrons</i> (Ghana)
		<i>Pholidornis rushiae</i> (Ghana)
	<i>Poephila cincta cincta</i>	

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Appendix I	Appendix II	Appendix III
		<i>Pyrenestes ostrinus</i> (Ghana)
		<i>Pytilia hypogammica</i> (Ghana)
		<i>Pytilia phoenicoptera</i> (Ghana)
		<i>Spermophaga</i> <i>haematina</i> (Ghana)
		<i>Uraeginthus bengalus</i> (Ghana)
		<i>Amblyospiza albifrons</i> (Ghana)
		<i>Anaplectes rubriceps</i> (Ghana)
		<i>Anomalospiza imberbis</i> (Ghana)
		<i>Bubalornis albirostris</i> (Ghana)
		<i>Euplectes afer</i> (Ghana)
		<i>Euplectes ardens</i> (Ghana)
		<i>Euplectes ardens</i> (Ghana)
Ploceidae Weavers, whydahs		<i>Euplectes franciscanus</i> (Ghana)
		<i>Euplectes hordeaceus</i> (Ghana)
		<i>Euplectes macrourus</i> (Ghana)
		<i>Malimbus cassini</i> (Ghana)
		<i>alimbus malimbicus</i> (Ghana)
		<i>Malimbus nitens</i> (Ghana)
		<i>Malimbus rubricollis</i> (Ghana)

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Appendix I

Appendix II

Appendix III

Malimbus scutatus
(Ghana)
Pachyhantes
superciliosus (Ghana)
Passer griseus (Ghana)
Petronis dentata
(Ghana)
Plocepasser
superciliosus (Ghana)
Ploceus albinucha
(Ghana)
Ploceus aurantius
(Ghana)
Ploceus cucullatus
(Ghana)
Ploceus heuglini
(Ghana)
Ploceus luteolus
(Ghana)
Ploceus
melanocephalus
(Ghana)
Ploceus nigerrimus
(Ghana)
Ploceus nigricollis
(Ghana)
Ploceus pelzelni
(Ghana)
Ploceus preussi
(Ghana)
Ploceus tricolor
(Ghana)
Ploceus vitellinus
(Ghana)
Quelea erythropis
(Ghana)
Sporopipes frontalis
(Ghana)

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Appendix I	Appendix II	Appendix III
		<i>Vidua chalybeata</i> (Ghana)
		<i>Vidua interjecta</i> (Ghana)
		<i>Vidua larvaticola</i> (Ghana)
		<i>Vidua macroura</i> (Ghana)
		<i>Vidua orientalis</i> (Ghana)
		<i>Vidua raricola</i> (Ghana)
		<i>Vidua togoensis</i> (Ghana)
		<i>Vidua wilsoni</i> (Ghana)
Sturnidae Mynahs (Starlings)	<i>Gracula religiosa</i>	
<i>Leucopsar rothschildi</i>		
Paradisaeidae Birds of paradise	<i>Paradisaeidae</i> spp.	
	CLASS REPTILIA (REPTILES)	
TESTUDINATA		
Dermatemydidae Central American river turtle	<i>Dermatemys mawii</i>	
Platysternidae Big-headed turtle	<i>Platyeternon megacephalum</i>	
Emydidae Box turtles, freshwater turtles	<i>Annamemys annamensis</i>	
Batagur baska	<i>Callagur borneoensis</i>	

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Appendix I	Appendix II	Appendix III
		<i>Chinemys megalcephala</i> (China) <i>Chinemys nigricans</i> (China) <i>Chinemys reevesii</i> (China)
	<i>Clemmys insculpta</i>	
<i>Clemmys muhlenbergi</i>		
	<i>Cuora</i> spp.	
<i>Geoclemys hamiltonii</i>		<i>Geoemyda spengleri</i> (China)
	<i>Heosemys depressa</i> <i>Heosemys grandis</i> <i>Heosemys leytensis</i> <i>Heosemys spinosa</i> <i>Hieremys annandalii</i>	
	<i>Kachuga</i> spp. (Except the species included in Appendix I)	
<i>Kachuga tecta</i>		
	<i>Leucocephalon yuwonoi</i> <i>Malayemys subtrijuga</i>	
		<i>Maurenys iversoni</i> (China)
	<i>Mauremys mutica</i>	
		<i>Maurenys prichardi</i> (China)
<i>Melanochelys tricarinata</i> <i>Morenia ocellata</i>		

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Appendix I	Appendix II	Appendix III
	<i>Notochelys platynota</i>	<i>Ocadia glyphistoma</i> (China) <i>Ocadia philippeni</i> (China) <i>Ocadia sinensis</i> (China)
	<i>Orlita borneensis</i> <i>Pyxidea mouhotii</i>	<i>Sacalia bealei</i> (China) <i>Sacalia pseudocellata</i> (China) <i>Sacalia quadriocellata</i> (China)
	<i>siebenrockiella</i> <i>crassicollis</i>	
	<i>Terrapene</i> spp. (Except the species included in Appendix I)	
<i>Terrapene coahuila</i>		
Testudinidae Tortoises	<i>Testudinidae</i> spp. (Except the species included in Appendix I. A zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)	
<i>Geochelone nigra</i> <i>Geochelone radiata</i>		

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Appendix I	Appendix II	Appendix III
<i>Geochelone yniphora</i>		
<i>Gopherus flavomarginatus</i>		
<i>Psammobates geometricus</i>		
<i>Pyxis arachnoides</i>		
<i>Pyxis planicauda</i>		
<i>Testudo kleinmanni</i>		
<i>Testudo weneri</i>		
Cheloniidae Marine turtles		
<i>Cheloniidae</i> spp.		
Dermochelyidae		
Leatherback turtle		
<i>Dermochelys coriacea</i>		
Carettochelyidae		
Pig-nosed turtles		
	<i>Carettochelys insculpta</i>	
Trionychidae Softshell turtles, terrapins		
	<i>Amyda cartilaginea</i>	
<i>Apalone ater</i>		
<i>Asperidetes gangeticus</i>		
<i>Asperidetes hurum</i>		
<i>Asperidetes nigricans</i>		
	<i>Chitra</i> spp.	
	<i>Lissemys punctata</i>	
		<i>Palea steindactineri</i> (China)
	<i>Pelochelys</i> spp.	
		<i>Pelodisus axenaria</i> (China)

Appendix I	Appendix II	Appendix III
		<i>Pelodisus maackii</i> (China)
		<i>Pelodisus parviformis</i> (China)
		<i>Pelodisus sinensis</i> (China)
		<i>Rajetus swinhoei</i> (China)
		<i>Trionyx triunguis</i> (Ghana)
Pelomedusidae Afro-American side necked turtles	<i>Erymnochelys madagascariensis</i>	<i>Pelomedusa subrufa</i> (Ghana)
		<i>Pelusios adansonii</i> (Ghana)
	<i>Peltocephalus dumeriliana</i>	<i>Pelusios castaneus</i> (Ghana)
		<i>Pelesios gabonensis</i> (Ghana)
		<i>Pelusios niger</i> (Ghana)
	<i>Podocnemis</i> spp.	
Chelidae Austro-American side-necked turtle	<i>Chelodina mccordi</i>	
	<i>Pseudemydura umbrina</i>	
CROCODYLIA Alligators, caimans, crocodiles	CROCODYLIA spp. (Except the species including in Appendix I)	
Alligatoridae Alligators, caimans		
<i>Alligator sinensis</i>		

Appendix I

Caiman crocodilus
apaporiensis

Caiman latirostris
(Except the population of
Argentina, which is
included in Appendix II)

Melanosuchus niger
(Except the population of
Ecuador, which is included
in Appendix II, and is
subject to a zero annual
export quotas until an
annual export quota has
been approved by the
CITES Secretariat and the
IUCN/SSC Crocodile
Specialist Group)

Crocodylidae
Crocodiles

Crocodylus acutus
(Except the population of
Cuba, which is included in
Appendix II)

Crocodylus cataphractus

Crocodylus intermedius

Crocodylus mindorensis

Crocodylus moreletii

Crocodylus niloticus

(Except the population of
Botswana, Ethiopia,
Kenya, Madagascar,
Malawi, Mozambique,
South Africa, Uganda, the
United Republic of
Tanzania (subject to an

Appendix II

Appendix III

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Appendix I	Appendix II	Appendix III
annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranched specimens), Zambia and Zimbabwe, which are included in Appendix II)		
<i>Crocodylus palustris</i> <i>Crocodylus porosus</i> (Except the populations of Australia, Indonesia and Papua New Guinea, which are included in Appendix II)		
<i>Crocodylus rhombifer</i> <i>Crocodylus siamensis</i> <i>Osteolaemus tetraspis</i> <i>Tomistoma schlegelii</i>		
Gavialidae Gavia		
<i>Gavialis gangeticus</i>		
RHYNCHOCEPHALIA		
Sphenodontidae Tuatara		
<i>Sphenodon</i> spp.		
SAURIA		
Gekkonidae Geckos		
	<i>Cyrtodactylus serpensinsula</i>	
		<i>Hoplodactylus</i> spp. (New Zealand)

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Appendix I	Appendix II	Appendix III
Teiidae Caiman lizards, tegu lizards	<i>Cordylus</i> spp.	
	<i>Crocodilurus amazonicus</i> <i>Dracaena</i> spp. <i>Tupinambis</i> spp.	
Scincidae Skink		
	<i>Corucia zebrata</i>	
Xenosauridae Chinese crocodile lizard		
	<i>Shinisaurus crocodilurus</i>	
Helodermatidae Beaded lizard, gila monster	<i>Heloderma</i> spp.	
Varanidae Monitor lizard		
	<i>Varanus</i> spp. (Except the speices included in Appendix I)	
	<i>Varanus bengalensis</i> <i>Varanus flavescens</i> <i>Varanus griseus</i> <i>Varanus komodoensis</i> <i>Varanua nebulosus</i>	
SERPENTES Snakes		
Loxocemidae Mexican dwarf boa		
	<i>Loxocemidae</i> spp.	
Pythonidae Pythons		

Appendix I	Appendix II	Appendix III
	<i>Pythonidae</i> spp. (Except the subspecies included in Appendix I)	
<i>Python molurus molurus</i>		
Boidae Boas		
	<i>Boidae</i> spp. (Except the species included in Appendix I)	
<i>Acrantophis</i> spp. <i>Boa constrictor occidentalis</i>		
<i>Epicrates inornatus</i> <i>Epicrates monensis</i> <i>Epicrates subflavus</i> <i>Sanzinia madagascariensis</i>		
Bolyeriidae Round Island boas	<i>Bolyeriidae</i> spp. (Except the species included in Appendix I)	
<i>Bolyeria multocarinata</i> <i>Casarea dussumieri</i>		
Tropidophiidae Wood boas		
	<i>Tropidophiidae</i> spp.	
Colubridae Typical snakes, water snakes, whipsnakes		<i>Atretium schistosum</i> (India) <i>Cerberus rhynchops</i> (India)
	<i>Clelia clelia</i> <i>Cyclagras gigas</i> <i>Elachistodon westermanni</i>	

Appendix I	Appendix II	Appendix III
Elapidae Cobras, coral snakes	<i>Ptyas mucosus</i>	<i>Xenochrophis piscator</i> (India)
	<i>Hoplocephalus bungaroides</i>	<i>Micrurus diastema</i> (Honduras) <i>Micrurus nigrocinctus</i> (Honduras)
	<i>Naja atra</i> <i>Naja kaouthis</i> <i>Naja mandalayensis</i> <i>Naja naja</i> <i>naja oxiana</i> <i>Naja philippinensis</i> <i>Naja sagittifera</i> <i>Naja samarensis</i> <i>Naja siamensis</i> <i>Naja sputatrix</i> <i>Naja sumatrana</i> <i>Ophiophagus hannah</i>	
Viperidae Vipers		<i>Crotalus durissus</i> (Honduras) <i>Daboia russelii</i> (India)
<i>Vipera ursinii</i> (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not		

Appendix I	Appendix II	Appendix III
included in the Appendices)	<i>Vipera wagneri</i>	
	CLASS AMPHIBIA (AMPHIBIANS)	
ANURA		
Bufonidae Toads		
<i>Altiphrynoides</i> spp.		
<i>Atelopus zeteki</i>		
<i>Bufo periglenes</i>		
<i>Bufo superciliaris</i>		
<i>Nectophrynoides</i> spp.		
<i>Nimbaphrynoides</i> spp.		
<i>Spinophrynoides</i> spp.		
Dendrobatidae Poison frogs	<i>Dendrobates</i> spp. <i>Epipedobates</i> spp. <i>Minyobates</i> spp. <i>Phyllobates</i> spp.	
Mantellidae Mantellas	<i>Mantella</i> spp.	
Microhylidae Red rain frog, tomato frog		
<i>Dyscophus antongilii</i>	<i>Scaphiophryne gottlebei</i>	
Myobatrachidae Gastric-brooding frogs	<i>Rheobatrachus</i> spp.	
Ranidae frogs		
	<i>Euphlyctis hexadactylus</i> <i>Hoplobatrachus tigerinus</i>	
CAUDATA		
Ambystomidae Axolotls		

Appendix I	Appendix II	Appendix III
	<i>Ambystoma dumerilii</i> <i>Ambystoma mexicanum</i>	
Cryptobranchidae Giant salamanders		
<i>Andrias</i> spp.		
	CLASS ELASMOBRANCHII (SHARKS)	
ORECTOLOBIFORMES		
Rhincodontidae Whale shark	<i>Rhincodon typus</i>	
LAMNIFORMES		
Lamnidae Great white shark	<i>Carcharodon carcharias</i>	
Cetorhinidae Basking shark	<i>Cetorhinus maximus</i>	
	CLASS ACTINOPTERYGII (FISH)	
ACIPENSERIFORMES Paddlefish, sturgeons	ACIPENSERIFORMES spp. (Except the speices included in Appendix I)	
Acipenseridae Sturgeons		
<i>Acipenser brevirostrum</i>		
<i>Acipenser sturio</i>		
OSTEOGLOSSOFORMES		
S		

Appendix I	Appendix II	Appendix III
Osteoglossidae Arapaima, bonytonge		
	<i>Arapaima gigas</i>	
<i>Scleropages forosus</i>		
CYPRINIFORMES		
Cyprinidae Blind carps, plaeek		
	<i>Caecobarbus geertsi</i>	
<i>Probarbus jullieni</i>		
Catostomidae Cui-ui		
	<i>Chasmistes cujus</i>	
SILURIFORMES		
Pangasiidae Pangasid carfish		
	<i>Pangasianodon gigas</i>	
SYNGNATHIFORMES		
Syngnathidae Pipefishes, seahorses		
	<i>Hippocampus</i> spp.	
PERCIFORMES		
Labridae Wrasses		
	<i>Cheilinus undulatus</i>	
Sciaenidae Totoaba		
	<i>Totoaba macdonaldi</i>	
	CLASS SARCOPTERYGII (LUNGFISHES)	

Appendix I	Appendix II	Appendix III
COELACANTHIFORMES		
Latimeriidae Coelacanths		
<i>Latimeria</i> spp.		
CERATODONTIFORMES		
Ceratodontidae		
Australian lungfish	<i>Neoceratodus forsteri</i>	
	PHYLUM ECHINODERMATA	
	CLASS HOLOTHUROIDEA (SEA CUCUMBERS)	
ASPIDOCHIROTIDA		
Stichopodidae Sea cucumbers		<i>Isostichopus fuscus</i> (Ecuador)
	PHYLUM ARTHROPODA	
SCORPIONES	CLASS ARACHNIDA (SPIDERS)	
Scorpionidae Scorpions	<i>Pandinus dictator</i> <i>Pandinus gambiensis</i> <i>Pandinus imperator</i>	
ARANEAE		
Theraphosidae Red-kneed tarantulas, terantulas	<i>Aphonopelma albiceps</i>	

Appendix I	Appendix II	Appendix III
	<i>Aphonopelma pallidum</i> <i>Brachypelma</i> spp.	
	CLASS INSECTA (INSECTS)	
COLEOPTERA		
Lucanidae Cape stag beetles		<i>Colophon</i> spp. (South Africa)
LEPIDOPTERA		
Papilionidae Birdwing butterflies, swallowtail butterflies	<i>Atrophaneura jophon</i> <i>Atrophaneura pandiyana</i> <i>Bhutanitis</i> spp. <i>Ornithoptera</i> spp. (Except the species included in Appendix I)	
<i>Ornithoptera alexandrae</i> <i>Papilio chikae</i> <i>Papilio homerus</i> <i>Papilio hospiton</i>	<i>Parnassius apollo</i> <i>Teinopalpus</i> spp. <i>Trogonoptera</i> spp. <i>Troides</i> spp. (<i>sensu</i>	
	PHYLUM ANNELIDA	
	CLASS HIRUDINOIDEA (LEECHES)	
ARHYNCHOBDELLIDA		
Hirudinidae Medicinal Leech	<i>Hirudo medicinalis</i>	
	PHYLUM MOLLUSCA	
	CLASS BIVALVIA (CLAMS, MUSSELS)	
VENERIDA		

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Appendix I	Appendix II	Appendix III
Tridacnidae Giant clams	<i>Tridacnidae spp.</i>	
UNIONIDA		
Unionidae Freshwater mussels, pearly mussels		
<i>Conradilla caelata</i>		
	<i>Cyprogenia aberti</i>	
<i>Dromus dromas</i>		
<i>Epioblasma curtisi</i>		
<i>Epioblasma florentina</i>		
<i>Epioblasma sampsoni</i>		
<i>Epioblasma sulcata perobliqua</i>		
<i>Epioblasma torulosa gubernaculum</i>	<i>Epioblasma torulosa rangiana</i>	
<i>Epioblasma torulosa torulosa</i>		
<i>Epioblasma turgidula</i>		
<i>Epioblasma walkeri</i>		
<i>Fusconaia cuneolus</i>		
<i>Fusconaia edgariana</i>		
<i>Lampsilis higginsii</i>		
<i>Lampsilis orbiculata orbiculata</i>		
<i>Lampsilis satur</i>		
<i>Lampsilis virescens</i>		
<i>Plethobasus cicatricosus</i>	<i>Cleurobema clava</i>	
<i>Plethobasus cooperianus</i>		
<i>Pleurobema plenum</i>		
<i>Potamilus capax</i>		
<i>Quadrula intermedia</i>		
<i>Quadrula sparsa</i>		

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Appendix I	Appendix II	Appendix III
<i>Toxolasma cylindrella</i>		
<i>Unio nickliniana</i>		
<i>Unio tampicoensis</i>		
<i>tecomatensis</i>		
<i>Villosa trabalis</i>		
MYTILOIDA		
Mytilidae Marine mussels		
	Lithophaga Lithophaga	
	CLASS GASTROPODA	
	(SNAILS AND	
	CONCHES)	
STYLOMMATOPHORA		
Achatinellidae Agate		
snails, oahu tree snails		
<i>Achatinella spp.</i>		
Camaenidae Green tree		
snail	<i>Papustyla pulcherrima</i>	
MESOGASTROPODA		
Strombidae Queen conch		
	<i>Strombus gigas</i>	
	PHYLUM CNIDARIA	
	CLASS ANTHOZOA	
	(CORALS, SEA	
	ANEMONES)	
HELIOPORACEA Blue		
corals		
	Helioporidae spp.	
	(Includes only the species	
	<i>Heliopora coerulea.</i>	

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Appendix I	Appendix II	Appendix III
	Fossils are not subject to the provisions of the Convention)	
STOLONIFERA		
Tubiporidae Organ-pipe corals	<i>Tubiporidae</i> spp. (Fossils are not subject to the provisions of the Convention)	
ANTIPATHARIA Black corals		
	ANTIPATHARIA spp.	
SCLERACTINIA Stony corals	SCLERACTINIA spp. (Fossils are not subject to the provisions of the Convention)	
	CLASS HYDROZOA (SEA FERNS, FIRE CORALS, STINGING MEDUSAE)	
MILLEPORINA		
Milleporidae Fire corals		
	<i>Milleporidae</i> spp. (Fossils are not subject to the provisions of the Convention)	
STYLASTERINA		
Stylasteridae Lace corals		
	<i>Stylasteridae</i> spp. (Fossils are not subject to the	

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Appendix I	Appendix II	Appendix III
	provisions of the Convention)	
	FLORA (PLANTS)	
AGAVACEAE Agaves		
<i>Agave arizonica</i>		
<i>Agave parviflora</i>		
	<i>Agave victoriae-reginae</i> #1	
<i>Nolina interrata</i>		
AMARYLLIDACEAE Snowdrops, sternbergias		
	<i>Galanthus</i> spp. #1 <i>Sternbergia</i> spp. #1	
APOCYNACEAE Elephant trunks, hoodias		
	<i>Hoodia</i> spp. #9 <i>Pachypodium</i> spp. *#1 (Except the species included in Appendix I)	
<i>Pachypodium ambongense</i>		
<i>Pachypodium baronii</i>		
<i>Pachypodium decaryi</i>		
	<i>Rauvolfia serpentina</i> #2	
ARALIACEAE Ginseng		
	<i>Panax ginseng</i> #3 (Only the population of the Russian Federation; no other population is	

Appendix I	Appendix II	Appendix III
	included in the Appendices)	
	<i>Panax quinquefolius</i> #3	
ARAUCARIACEAE		
Monkey-puzzle tree		
<i>Araucaria araucana</i>		
BERBERIDACEAE		
May-apple		
	<i>Podophyllum hexandrum</i> #2	
BROMELIACEAE Air plants, bromelias		
	<i>Tillandsia harrisii</i> #1 <i>Tillandsia kammii</i> #1 <i>Tillandsia kautskyi</i> #1 <i>Tillandsia mauryana</i> #1 <i>Tillandsia sprengeliana</i> #1 <i>Tillandsia sucrei</i> #1 <i>Tillandsia xerographica</i> #1	
CACTACEAE Cacti		
	CACTACEAE spp. ⁷ #4 (Except the species included in Appendix I)	
<i>Ariocarpus</i> spp.		
<i>Astrophytum asterias</i>		
<i>Aztekium ritteri</i>		
<i>Coryphantha</i>		
<i>werdermannii</i>		
<i>Discocactus</i> spp.		
<i>Echinocereus ferreirianus</i>		
<i>spp.lindsayi</i>		

Appendix I

Echinocereus schmollii
Escobaria minima
Escobaria sneedii
Mammillaria pectinifera
Mammillaria solisoides
Melocactus conoideus
Melocactus deinacanthus
Melocactus glaucescens
Melocactus paucispinus
Obregonia denegrii
Pachycereus militaris
Pediocactus bradyi
Pediocactus knowltonii
Pediocactus paradinei
Pediocactus peeblesianus
Pediocactus sileri
Pelecypora spp.
Sclerocactus
brevihamatus ssp.
tobuschii

Sclerocactus erectocentrus
Sclerocactus glaucus
Sclerocactus mariposensis
Sclerocactus mesaeverdae
Sclerocactus nyensis
Sclerocactus
papyracanthus
Sclerocactus pubispinus
Sclerocactus wrightiae
Strombocactus spp.
Turbinicarpus spp.
Uebelmannia spp.

CARYOCARACEAE Ajo

Caryocar costaricense #1

COMPOSITAE

Appendix I	Appendix II	Appendix III
(Asteraceae) Kuth		
<i>Saussurea costus</i>		
CRASSULACEAE	<i>Dudleya stolonifera</i>	
Dudleyas	<i>Dudleya traskiae</i>	
CUPRESSACEAE Alerce, cypresses		
<i>Fitzroya cupressoides</i>		
<i>Pilgerodendron uviferum</i>		
CYATHEACEAE		
Tree-ferns		
	<i>Cyathea</i> spp. #1	
CYCADACEAE Cycads		
	CYCADACEAE spp. *#1	
<i>Cycas beddomei</i>		
DIAPENSIACEAE		
Oconee-bells	<i>Shortia galacifolia</i> #1	
	<i>Cibotium barometz</i> #1	
	<i>Dicksonia</i> spp. #1 (only the populations of the Americas; no other population is included in the Appendices)	
DICKSONIACEAE		
Tree-ferns		
DIDIEREACEAE		
Alluaudias, didiereas	DIDIEREACEAE spp. #1	
DIOSCOREACEAE		
Elephant's foot, kniss	<i>Dioscorea deltoidea</i> #1	
DROSERACEAE		
Venus' flytrap	<i>Dionaea muscipula</i> #1	
EUPHORBIACEAE		
	<i>Euphorbia</i> spp. #1	

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Appendix I	Appendix II	Appendix III
Spurges	<p>(Succulent species only except the species included in Appendix I. Artificially propagated specimens of cultivars of <i>Euphorbia trigona</i>, artificially propagated specimens of crested, fan-shaped or colour mutants of <i>Euphorbia lactea</i>, when grafted on artificially propagated root stock of <i>Euphorbia neriifolia</i>, specimens of cultivars of <i>Euphorbia</i> 'Mili' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)</p>	
	<p><i>Euphorbia</i> <i>aambovombensis</i> <i>Euphorbia</i> <i>capsaintemariensis</i> <i>Euphorbia</i> <i>cremersii</i> (Includes the <i>forma</i> <i>viridifolia</i> and the var. <i>rakotozafyi</i>) <i>Euphorbia</i> <i>cylindrifolia</i> (Includes the spp. <i>tuberifera</i>) <i>Euphorbia</i> <i>decaryi</i> (Includes the vars. <i>ampanihyenis</i>, <i>robibsonii</i> and <i>spirosticha</i>) <i>Euphorbia</i> <i>francoisii</i> <i>Euphorbia</i> <i>moratii</i></p>	

Appendix I	Appendix II	Appendix III
(Includes the vars. <i>antsingiensis</i> , <i>bemarahensis</i> and <i>multiflora</i>) <i>Euphorbia</i> <i>parvicyathophora</i> <i>Euphorbia quartzitcola</i> <i>Euphorbia tulearensis</i>	<i>Fouquieria columnaris</i> #1	<i>Gnetum mountainum</i> #1 (Nepal)
FOUQUIERIACEAE Ocotillos	<i>Fouquieria fasciculata</i> <i>Fouquieria purpusii</i>	
GNETACEAE Gnetums		
JUGLANDACEAE Gavilan	<i>Oreomunnea pterocarpa</i> #1	
LEGUMINOSAE (Fabaceae) Afromosia, cristobal, rosewood, sandalwood		<i>Dipteryx panamensis</i> (Costa Rica)
<i>Dalbergia nigra</i>	<i>Pericopsis elata</i> #5 <i>Platymiscium</i> <i>pleiostachyum</i> #1 <i>Pterocarpus santalinus</i> #7	
LILIACEAE Aloes	<i>Aloe</i> spp. #1 (Except the species included in	

Appendix I

Appendix II

Appendix III

Appendix I. Also excludes
Aleo vera, also references
as *Aloe barbadensis* which
is not included in the
Appendices)

Aloe albida
Aloe albiflora
Aloe alfredii
Aloe bakeri
Aloe bellatula
Aloe calcairophila
Aloe compressa (Includes
the vars, *rugosquamosa*,
schistophila and
paucituberculata)

Aloe delphinensis
Aloe descoingsii
Aloe fragilis
Aloe haworthioides
(Incules the var.
aurantiaca)

Aloe helenae
Aloe laeta (Includes the
var. maniaensis)

Aloe parallelifolia
Aloe parvula
Aloe pillansii
Aloe polyphylla
Aloe rauhii
Aloe suzannae
Aloe versicolor
Aloe vossii

MAGNOLIACEAE
Magnolia

Appendix I	Appendix II	Appendix III
MELIACEAE Mahoganies, Spanish cedar	<i>Swietenia humilis</i> #1 <i>Swietenia macrophylla</i> # 6 (Populations of the Neotropics) <i>Swietenia mahagoni</i> #5	<i>Magnolia liliifera</i> var. <i>obovata</i> #1 (Nepal) <i>Cedrela ororata</i> #5 [Population of Colombia (Colombia) Population of Peru (Peru)]
NEPENTHACEAE Pitcher-plants (Old World)	<i>Nepenthes</i> spp.#1	
<i>Nepenthes khasiana</i> <i>Nepenthes rajah</i>		
ORCHIDACEAE Orchids	ORCHIDACEAE spp. ⁸ #8 (Except the species included in Appendix I)	
(For all of the following Appendix-I species, seeding or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention)		
<i>Aerangis ellisii</i> <i>Dendrobium cruentum</i>		

Appendix I	Appendix II	Appendix III
<i>Laelia jongheana</i> <i>Laelia lobata</i> <i>Paphiopedilum</i> spp. <i>Peristeria elata</i> <i>Phragmipedium</i> spp. <i>Renanthera imschootiana</i>		
OROBANCHACEAE Broomrape		
	<i>Cistanche deserticola</i> #3	
PALMAE (Arecaceae) Palms		
	<i>Beccariophoenix</i> <i>madagascariensis</i>	
	<i>Lemurophoenix halleuxii</i>	
<i>Marojejya darianii</i>		
	<i>Neodypsis decaryi</i> #1 <i>Ravenea louelii</i> <i>Ravenea rivularis</i> <i>Satranala decussilvae</i> <i>Voanioala gerardii</i>	
PAPAVERACEAE Poppy		
		<i>Meconopsis regia</i> #1 (Nepal)
PINACEAE Guatemala fir		
<i>Abies guatemalensis</i>		
PODOCARPACEAE Podocarps		
		<i>Podocarpus neriigolius</i> #1

Appendix I	Appendix II	Appendix III (Nepal)
<i>Podocarpus parlatorei</i>		
PORTULACACEAE Lewisiads, portulacas, purslanes	<i>Anacampseros</i> spp. #1 <i>Avonia</i> spp. #1 <i>Lewisia serrata</i> #1	
PRIMULACEAE Cyclamens	<i>Cyclamen</i> spp. ² #1	
PROTEACEAE Proteas	<i>Orothamnus zeyheri</i> #1 <i>Protea odorata</i> #1	
RANUNCULACEAE Golden seals, yellow adonis, yellow root	<i>Adonis vernalis</i> #2 <i>Hydrastis canadensis</i> #3	
ROSACEAE African cherry, stinkwood	<i>Prunus africana</i> #1	
RUBIACEAE Ayuque <i>Balmea stormiae</i>		
SARRACENIACEAE Pitcher-plants (New World)	<i>Sarracenia</i> spp. #1 (Except the species included in Appendix I)	
<i>Sarracenia rubra</i> ssp. <i>alabamensis</i>		

Appendix I	Appendix II	Appendix III
<i>Sarracenia rubra</i> ssp. <i>jonesii</i> <i>Sarracenia oreophila</i>		
SCROPHULARIACEAE Kutki	<i>Picrorhiza kurrooa</i> #3 (Excludes <i>Picrorhiza</i> <i>scrophulariiflora</i>)	
STANGERIACEAE Stangerias	<i>Bowenia</i> spp. #1	
<i>Stangeria eriopus</i>		
TAXACEAE Himalayan yew	<i>Taxus chinensis</i> and infraspecific taxa of this species ¹⁰ #10 <i>Taxus cuspidata</i> and infraspecific taxa of this species ¹⁰ #10 <i>Taxus fuana</i> and infraspecific taxa of this species ¹⁰ #10 <i>Taxus sumatrana</i> and infraspecific taxa of this species ¹⁰ #10 <i>Taxus wallichiana</i> #10	
THYMELEACEAE (Tetracentraceae) Tetracentron		<i>Tetracentron sinense</i> #1 (Nepal)
THYMELAEACEAE (Aquilariaceae) Agarwood, ramin	<i>Aquilaria</i> spp. #1 <i>Gonystylus</i> spp. #1 <i>Gyrinops</i> spp. #1	
VALERIANACEAE Himalayan spikenard		

Appendix I	Appendix II	Appendix III
	<i>Nardostachys grandiflora</i> #3	
WELWITSCHIACEAE <i>Welwitschia</i>	<i>Welwitschia mirabilis</i> #1	
ZAMIACEAE Cycads	ZAMIACEAE spp. *#1 (Except the species included in Appendix I)	
<i>Ceratozamia</i> spp. <i>Chigua</i> spp. <i>Encephalartos</i> spp. <i>Microcycas calocoma</i>		
ZINGIBERACEAE Ginger lily	<i>Hedychium philippinense</i> #1	
ZYGOPHYLLACEAE Lignum-vitae	<i>Guaiacum</i> spp. #2	

THIRD SCHEDULE

(Sections 2, 4, 6, 7, 9, 10, 13, 23, 24)

Species belonging to Barbados that may be adversely affected by trade

Liophis perfuscus

Mastigodryas bruesi

Leptotyphlops bilineata

Phyllodactylus pulcher

Hemidactylus mabouia

Anolis extremus

Kentropyx borckiana

Gymnophthalmus underwoodi

Geochelone carbonaria

Eleutherodactylus johnstonei

FOURTH SCHEDULE

(Section 5(2))

Constitution and Procedure of the Scientific Authority

Constitution

1.(1) The Scientific Authority shall consist of not less than 5 nor more than 12 members appointed by the Minister by instrument in writing.

(2) The members shall be persons appearing to the Minister to be persons suitably qualified in the areas of botany, zoology, entomology, marine ecology and terrestrial ecology and having experience in related areas including the conservation, protection and management of natural resources.

Appointment of chairman and Deputy Chairman

2. The Minister shall appoint one of the members to be Chairman and another to be Deputy Chairman respectively.

Tenure

3. A member of the Authority shall hold office for a period not exceeding 3 years, but is eligible for re-appointment.

Resignation

4.(1) The Chairman may at any time resign his office by instrument in writing addressed to the Minister; and upon the date of receipt by the Minister of the instrument, the Chairman ceases to be Chairman and a member of the Authority unless some other date is mentioned in the instrument.

(2) A member other than the Chairman may at any time resign his appointment by instrument in writing addressed to the Chairman, who shall forthwith cause the instrument to be forwarded to the Minister; and upon the date of receipt by

the Chairman of the instrument, the member ceases to be a member of the Authority unless some other date is mentioned in the instrument.

Temporary membership

5. The Minister may, in accordance with paragraph 3, appoint any person to act temporarily in the place of any member in case of the absence from Barbados or the inability of that member to act; however the person temporarily appointed shall have a similar qualification to the appointed member for whom he is acting.

Vacancy

6. A vacancy shall be deemed to arise in the membership of the Authority in the case of

- (a) the death or resignation of a member;
- (b) the revocation by the Minister of the appointment of a member;
- (c) the absence from Barbados of a member without leave of the Minister;
- (d) the failure of a member to attend 3 consecutive meetings of the Committee, unless the failure to attend was approved by the Minister.

Remuneration

7. Members are eligible for such remuneration, whether by way of fees, travelling or other allowances, as the Minister may determine.

Publication

8. The names of all members of the Authority as at first constituted and every change in the membership shall be published in the *Official Gazette*.

Secretary

9. The Minister may assign a person to be Secretary of the Authority.

Procedure

10.(1) The Authority shall meet at such times as shall be necessary for the transaction of its business and such meetings shall be held at such places and times as the Authority may determine.

(2) The Chairman may at any time call a special meeting of the Authority and shall call a special meeting within 7 days of the receipt of a written request for that purpose addressed to him by 2 members of the Authority.

(3) The Chairman shall preside at meetings of the Authority and if the Chairman is absent from a meeting the Deputy Chairman shall preside at the meeting and if both the Chairman and the Deputy Chairman are absent, the members present shall elect one of the appointed members to preside at that meeting.

(4) The minutes of each meeting of the Authority shall be kept in proper form and shall be confirmed as soon as practicable at a subsequent meeting.

(5) The validity of the proceedings of the Authority shall not be affected by any vacancy amongst the members thereof or by any defect in the appointment of a member thereof.

Quorum

11. A quorum shall consist a majority of the total members of the Authority.

Execution of documents

12. All documents made by, and all decisions of, the Authority may be signified under the hand of the Chairman or any other member authorized to act in that behalf by the Authority.

Decisions

13. Decisions of the Authority are to be by a majority of votes, and where voting is equal, the Chairman, in addition to his original vote, has a casting vote.

Disclosure of interest

14. A member of the Authority who is directly or indirectly interested in any matter which is being dealt with by the Authority

- (a) shall disclose the nature of his interest at a meeting of the Authority;
and
- (b) shall not take part in any deliberations or decisions of the Authority with respect to that matter.

Experts

15. The Authority may co-opt persons to attend any of its meetings for the purpose of assisting or advising it with respect to any matter with which it is dealing, but a co-opted person is not entitled to vote and shall not be counted for the purpose of constituting a quorum.

FIFTH SCHEDULE

(Section 10)





